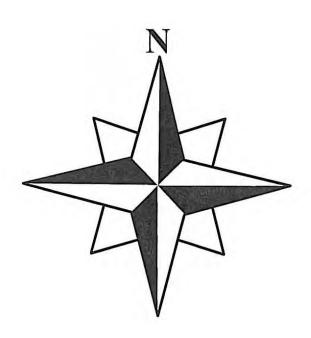
GENERAL INFORMATION FOR MERCHANT MARINER'S DOCUMENTS, LICENSES, AND STCW CERTIFICATES



Attached are all the forms and information you will need to apply for a Merchant Mariner License and Merchant Mariner Document (MMD).

This information package has been designed to assist you in the process of applying for merchant mariner credentials and may not answer all of your questions. More comprehensive information may be obtained by visiting http://www.uscg.mil/stcw/index.htm or contacting your local REC.

USEFUL INFORMATION

| Submission of Application: The backlog of applications submitted to each REC varies from week to week. As such, the processing time for completion of your application also varies. To ensure uninterrupted employment, please be proactive and send your package in to the REC 8-10 weeks prior to expiration or employment dates. Applicants with past criminal convictions or those with medical conditions that may require further Coast Guard review are advised to submit their package 14-18 weeks early. You will be required to physically visit the REC at least once. |
|---|
| Fingerprint Card: When applying for any credentials you must be fingerprinted. Your fingerprints will be submitted for processing to verify the information you provided with your application. Fingerprinting can only be accomplished at an REC. |
| Proof of Identity: To obtain a Merchant Mariner Document, two current forms of identification are required. One of these forms of identification must contain a photo of the applicant. The OCMI may require other identification to verify the identity of an applicant as deemed necessary. The acceptable photo and non-photo identifications are listed in this packet. |
| Proof of Citizenship and Any Legal Name Change: To obtain a Merchant Mariner's Document (MMD), you must be a U.S. citizen or an alien "lawfully admitted to the U.S. for permanent residence." To obtain a license, you must be a U.S. citizen except non-citizens may apply for an Operator of Uninspected Passenger Vessels (OUPV) license limited to undocumented vessels less than 5 net tons. All original license and document transactions must provide acceptable proof of nationality (i.e., original passport, birth certificate, or baptismal certificate). All subsequent applications by non-U.S. citizens (i.e., renewal, upgrade, duplicate) must provide proof of nationality and immigration status. All original license and document transactions must provide an original social security card. If your name has changed due to marriage, divorce, or a legal name change, you must provide documentation of your name change (for example, a marriage certificate, divorce decree, or judicial name change) and your current legal name. |
| Verification of Sea Service: Several options are available for the mariner to verify sea service. Original Certificates of Discharge, letter(s) from the employer(s) on company letterhead, and Sea Service Forms are acceptable forms of verification. The Small Vessel Sea Service Form will only be accepted for vessels less than 200 gross register tons. If the operator/master is not the owner, the vessel's owner must sign the form and the owner's signature must be notarized. |
| Recency: The applicant for any original license, endorsement, or raise-of-grade of license must have at least 90 days of qualifying service on vessels of appropriate tonnage or horsepower within the three years immediately preceding the date of application. |
| Physical Fitness: To obtain a license or an MMD endorsed for a rating (such as Able Seaman, Qualified Member of the Engine Department (QMED), Tankerman, etc.), you must submit a report of a physical examination. If you are applying for an MMD (or Z-card) as an Ordinary Seaman, Wiper, and Steward's Department, a full physical is not required and may be reported on the Merchant Marine Certification for Entry Level Ratings (CG-719K/E) (completed within the past 12 months). |
| First Aid/CPR: All applicants for an original deck or engineer license must provide proof of having completed a U.S. Coast Guard-approved first aid and CPR course. The certificates must be valid at the time of application. |
| Fire Fighting: Mariners applying for the original licenses listed below must provide proof of having completed a U.S. Coast Guard-approved fire fighting course within five years from the application date: O Masters licensed for 200 gross register tons (GRT) or less on ocean service O Master and mate licenses over 200 GRT O All engineering officer licenses O All Master of Towing Vessels (old OUTV) licenses on ocean domestic trade O All Mobile Offshore Drilling Unit (MODU) licenses |

- Radar Certificate: Each mariner, whether applying for an original license or renewing a deck license which authorizes service on radar equipped vessels of 300 GRT or more or 26 feet or more in length, must present a valid radar observer certificate from a U.S. Coast Guard-approved course. The certificates are valid for five years. If you do not have a valid radar observer certificate, please review and sign the radar observer form.
- ☐ STCW: With very few exceptions, STCW only applies to mariners employed on vessels greater than 200 gross register tons (domestic tonnage), or 500 gross tons (ITC tonnage), operating seaward of the boundary lines specified in Code of Federal Regulations, Title 46, Part 7. For additional information on policy guidance in STCW implementation, visit the website at: http://www.uscg.mil/stcw/index.htm, or contact your REC.

Acceptable Documents for Identification/Citizenship

| | DOCUMENT | Identification | Nationality | Citizenship | Description / Comment |
|-----------|--|----------------|-------------|-------------|--|
| | U.S. Driver's License or ID card issued by state or outlying U.S. Possession | X | | | Must contain a photograph and information such as name, date of birth, gender, address |
| | U.S. Passport | X | | X | |
| | Foreign Passport | X | X | | |
| 10 | Merchant Mariner's Document | Х | | Х | Only MMDs issued after February 03, 2003 |
| РНОТО | ID Badge for Federal Employee (i.e., DHS, DOT, DOD, FBI, etc.) | X | | | Must contain a photograph and identifying information |
| | ID Card issued by Federal, State, or local government (including Port Authorities) | X | | | Must contain a photograph and identifying information |
| | U.S. Military Identification Card (current and unexpired only) | Х | | | U.S. Military Only |
| | Law Enforcement Credential | Х | | | Must contain a photograph and identifying information |
| | Birth Certificate or Birth Registration, issued by state, county, municipality or outlying possession of the U.S. | X | х | Х | Certified copy acceptable |
| | Certificate of U.S. Citizenship | | | X | INS Form N-560 or N-570 |
| | Certificate of Naturalization | | | X | INS Form N-550 or N-570 |
| | Baptismal Certificate | | | X | Must be recorded within 1 year of birth. Certified copy acceptable. |
| 10 10 | Parish Record | | | Х | Must be recorded within 1 year of birth. Certified copy acceptable. |
| NON-PHOTO | Statement of practicing physician certifying attendance at the birth and who possesses a record showing the date and location at which it occurred | | | х | Certified copy acceptable |
| NC | Delayed certificate of birth issued under a state seal in the absence of any collateral facts indicating fraud in its procurement | | | х | Certified copy acceptable |
| | Native American Tribal Document | X | | X | |
| | Certificate issued by the consular representative of the country of citizenship | | Х | | |
| | Declaration of intent to become a citizen of the United States | | х | | Made by alien after 1929 and issued by a naturalization court. |

Two forms of identification are now required to process Merchant Mariner Documents (all MMDs). Above is a list of acceptable identifications for proof of identity and citizenship. At least one of the documents provided must be from the upper portion 'Photo Id' Section. The application may be mailed in with copies of the above documents, however, you must come to an REC to be fingerprinted (fingerprints from other agencies will no longer be accepted) and present the original proof of identification. Original MMDs are additionally required to show to their original Social Security Card prior to issuance. ('Certified Copy' is not notarized, it is 'Certified' by the originating agency).

APPLICATION CHECKLIST

ALL LICENSE/DOCUMENT, STCW-95, AND TANKERMAN APPLICATIONS Application for License as an Officer, Staff Officer, or Operator and for Merchant Mariner's Document (CG-719B) ☐ Camera Set-Up Form (if applying for a document) ☐ Two recent passport-style photographs (if applying for a document or STCW-95 Certificate) ☐ Merchant Mariner Physical Examination Report (CG-719K) or Merchant Marine Certification for Entry Level Ratings (CG-719K/E) (completed within the past 12 months) ☐ Proof of Sea Service (i.e., original Certificates of Discharge, letter from the employer on company letterhead, or Small Vessel Sea Service Form (CG-719S)) Report of Chemical Drug Test (completed within the past six months) ☐ Appropriate payment (see User Fees) ☐ Radar Certificate/Acknowledgement of Radar Requirements Form (deck officers over 200 gross tons and Master of Towing Vessels (OUTV)) ADDITIONAL INFORMATION REQUIRED FOR ORIGINAL LICENSE/DOCUMENT APPLICATIONS ☐ Proof of citizenship ☐ Proof of nationality and immigration status (non-U.S. citizens) ☐ Original Social Security Card ☐ Three character references (*Licenses*) ☐ Fingerprint Card (FD-258) ☐ First Aid/CPR Certificates (not required for entry level MMD) ☐ Fire Fighting Certificate (deck officers over 200 gross tons and licensed engineers) RENEWAL OF LICENSE/DOCUMENT APPLICATIONS ☐ Front and back copy of current license/document ☐ Renewal for Continuity Form (renewing for continuity only) STCW-95 APPLICATIONS Basic Safety Training Certificates (i.e., Personal Survival Techniques, Fire Fighting/Fire Prevention, First Aid, Social Responsibilities) ☐ Bridge Resource Management (deck officers) ☐ ARPA/GMDSS Certificates (deck officers on ARPA/GMDSS equipped vessels) ☐ FCC License for GMDSS (deck officers) Proof of proficiency in the use of survival craft (all licensed mates, masters, engineers, and lifeboatmen) TANKERMAN APPLICATIONS ☐ Fire Fighting Certificate ☐ Dangerous Liquids (DL)/Liquefied Gas Certificate (LG) Proof of Transfers/Service

INTERNATIONAL CONVENTION ON STANDARDS OF TRAINING, CERTIFICATION AND WATCHKEEPING OF SEAFARERS (STCW)

STCW applies only to mariners employed on vessels operating seaward of the boundary line specified in Code of Federal Regulations, Title 46, Part 7. Mariners on vessels that do not proceed seaward of the boundary are not required to have an STCW certificate.

| Under | STCW-95, | the | following | personnel | are eligible | e for | international | validation: |
|-------|----------|-----|-----------|-----------|--------------|-------|---------------|-------------|
|-------|----------|-----|-----------|-----------|--------------|-------|---------------|-------------|

| | Masters and mates licensed for service on vessels 500 or more gross tons (200 GRT) and masters regardless of any tonnage limitation with a letter from their employer stating that they engage in international voyages |
|-----|---|
| | Engineer officers licensed for service on vessels of 1000 horsepower or more |
| | Able seaman on vessels of 500 or more gross tons |
| | Tankerman Person In Charge (PIC), Engineer and Assistant (DL and/or LG) |
| | Lifeboatman |
| | Any rating forming part of a watch in a manned engine room or designated to perform duties in a periodically unmanned engine room of a vessel of more than 1000 horsepower |
| | Any rating forming part of a navigational watch on vessels over 500 GT (200 GRT) |
| | Every person qualified to perform radio duties or serve as an at-sea maintainer on a ship required to participate in the Global Maritime Distress and Safety System (GMDSS) |
| Tra | aining required to receive your STCW-95 Certificate: |
| | Personal Survival Techniques (all) |
| | Fire Prevention and Fire Fighting (Basic Fire Fighting) (all) |
| | Elementary First Aid (all) |
| | Personal Safety and Social Responsibilities (all) |
| | Bridge Resource Management (deck officers employed on vessels of 500 gross tons (200 GRT) or more) |
| | GMDSS (deck officers/radio officers employed on vessels equipped with this system) |
| | ARPA (deck officers employed on vessels equipped with this system) |
| | Proficiency in Survival Craft (Lifeboatman) (all masters/mates above 200 gross tons, engineers and able seamen) |
| | Advanced Fire Fighting (all mates and masters above 200 gross tons and all engineers) |
| | Advanced Fire Fighting (all mates and masters above 200 gross tons and all engineers) |

GENERAL TANKERMAN REQUIREMENTS

Licensed officers (deck and engineer) may act as Tankerman under the authority of their license provided

that they have not renewed their license after March 31, 1997. If you have renewed your license after March 31, 1997 and wish to perform the duties of Tankerman, you are required to meet the current regulations as stated in Code of Federal Regulations, Title 46, Part 13. A general list of requirements for Tankerman endorsements follows. Applicants must be at least 18 years old ☐ Evidence documenting recency of service (25% of sea service and at least two of the required transfers within the past five years from application date) Proof of service in the form of an original letter on company letterhead stating number of transfers, dates performed, and signed by a company representative ☐ Basic Fire Fighting Certificate completed within five years of the application date TANKERMAN PERSON IN CHARGE (PIC) ☐ Service: 90 days licensed service or 90 days unlicensed service on tank vessels (TVs); five loadings, five discharges, two commencements of loading, two completions of loading, two commencements of discharge, and two completions of discharge ☐ Dangerous Liquid (DL) and/or Liquefied Gas (LG) course certificate completed within five years of the application date TANKERMAN PIC (BARGE) Service: 60 days service on TVs or 180 days on tank barges; five loadings, five discharges, two commencements of loading, two completions of loading, two commencements of discharge, and two completions of discharge. ☐ Tank Barge Fire Fighting may substitute for Basic Fire Fighting ☐ DL and/or LG course certificate completed within five years of the application date TANKERMAN ASSISTANT Service: 90 days service on TVs or a DL and/or LG course certificate completed within five years of the application date TANKERMAN ENGINEER ☐ Service: 90 days licensed engineer service on TVs or 90 days unlicensed engineer service on TVs ☐ DL and/or LG course certificate completed within five years of the application date

CRIMINAL CONVICTIONS, DRUG USE, DWIS, ETC.

CRIMINAL CONVICTIONS (OTHER THAN MINOR TRAFFIC VIOLATIONS) ☐ As defined by 46 CFR 10.103, conviction means the applicant for a license or certificate of registry has been found guilty by judgment or plea by a court of record of the United States, the District of Columbia or any State or territory of the United States of a criminal felony or misdemeanor or of an offense described in Section 205 of the National Driver Register Act of 1982 (49 U.S.C. 30304). Conviction of more than one offense at a single trial will be considered to be multiple convictions. If an applicant pleads guilty or no contest, is granted deferred adjudication, or is required by the court to attend classes, make contributions of time or money, receive treatment, submit to any manner of probation or supervision, or forego appeal of a trial court's conviction, then the applicant will be considered to have received a conviction. A later expungement of the conviction will not negate a conviction unless it is proved to the OCMI that the expungement is based upon a showing that the court's earlier conviction was in error. A written statement listing every conviction (including DUIs, expunged records, pre-trial diversion). Your statement should include the crime, name and location of the court, date of conviction, sentence received (e.g., 3 years in prison, \$1500 fine), date released from jail (if applicable), and a narrative relating what occurred the day of the crime. If in doubt about the applicability, include the actions. ☐ If this information has not been disclosed on a prior application, include a copy of the court documents for any conviction that is less than five years old. USE OF DANGEROUS DRUGS (INCLUDING MARIJUANA) A written statement listing the name of each dangerous drug you have used (even one time); how often you used it (i.e., regular smoker, once a week for a year, only three times in your life, etc.); and the approximate date of your last use. ☐ If this information has not been disclosed on a prior application, include documentation concerning any type of substance abuse rehabilitation/education program that you've attended (if applicable). COAST GUARD LETTERS OF WARNING OR CIVIL PENALTY ASSESSMENTS, SUSPENSION, REVOCATION, OR VOLUNTARY SURRENDER OF COAST GUARD CREDENTIALS A written statement outlining when and where each event occurred and a brief account of what happened. ☐ If this information has not been disclosed on a prior application, also include a copy of any Coast Guard paperwork you have in connection with each event. SUSPENSION OR REVOCATION OF YOUR DRIVER'S LICENSE FOR REFUSAL TO SUBMIT TO AN

ALCOHOL OR DRUG TEST

- A written statement outlining when and where each event occurred and a brief account of what happened.
- A copy of your valid driver's license or a letter from the state Department of Motor Vehicles that lists the status of your eligibility to possess a driver's license. The National Driver's Registry will be checked.

TRAFFIC CONVICTIONS FROM FATAL ACCIDENTS, RECKLESS DRIVING, RACING ON THE HIGHWAY, OR OPERATING A MOTOR VEHICLE WHILE UNDER THE INFLUENCE OF, OR IMPAIRED BY, ALCOHOL OR A CONTROLLED SUBSTANCE

- A written statement outlining when and where each event occurred and a brief account of what happened.
- ☐ If this information has not been disclosed on a prior application, also include:
 - O A copy of your valid driver's license or a letter from the state Department of Motor Vehicles that lists the status of your eligibility to possess a driver's license.
 - O A copy of the court documents for any conviction that is less than five years old.

REQUIRED MEDICAL INFORMATION

A medical waiver from the Officer In Charge, Marine Inspection (OCMI) is required whenever a Merchant Mariner Physical Examination Report (CG-719K) reveals a medical condition that may affect your ability to perform the duties of the license or MMD applied for. Please provide a signed medical history statement from your doctor under his letterhead that includes the information below.

STANDARD INFORMATION REQUIRED

- 1. The date on which the diagnosis was made.
- 2. A complete list of medications (current and past), including dosage and possible side effects.
- 3. Any limitations in the performance of your professional duties.
- 4. A prognosis of the potential deterioration or correction of your condition.

Medical conditions include: heart problems, high blood pressure, diabetes, severe speech impediment, thyroid dysfunction, epilepsy, seizures, paralysis, blood disorder, severe digestive disorder, chronic renal failure, communicable disease, asthma, lung disease, psychiatric disorder, depression, attempted suicide, loss of memory, dizziness, fainting, periods of unconsciousness, sleepwalking, recent or repetitive surgery, impaired range of motion, and impaired balance or coordination.

AMPLIFYING INFORMATION (REQUIRED FOR SPECIFIC CONDITIONS)

| Amputation | An explanation of what caused the amputation is required (e.g., accident, illness). |
|------------|---|
|------------|---|

If an illness is the cause, the standard information listed above is required

regarding the specific illness.

Vision Problem Results of a recent (within one year) vision exam is required that includes both

uncorrected and corrected vision, field of vision, and color vision.

Hearing Problem A recent (within one year) hearing test is required that includes unaided hearing

threshold, aided threshold, and speech discrimination at 55db in each ear.

The standard information listed above is required for the condition that requires

Use of Prescription

Medication

the prescription medication.

Asthma A recent (within 30 days) pulmonary function test is required.

Diabetes The results of a recent (within 30 days) HgbA1c (diabetic) test. A prognosis

regarding possible affects the diabetes may have on your vision.

High Blood Pressure A recent (within 30 days) blood pressure reading. If prescribed medications require close monitoring in any form, the results of a treadmill exercise stress test taken within one year, including an interpretation of the result by either a doctor or cardiologist is required. If your condition does not require close monitoring of any form, you must provide a statement from your doctor or cardiologist explaining "a treadmill exercise stress test is not necessary since your condition is

(1) well controlled and (2) does not require close monitoring."

Heart Disease Vascular Disease Heart Surgery

A recent (within 30 days) blood pressure reading. The results of a treadmill exercise stress test taken within one year, including an interpretation of the result

by either a doctor or cardiologist, is required.

INSTRUCTIONS FOR COMPLETING ENCLOSED FORMS

Read all forms carefully. Print legibly or type all information on the forms with the exception of your signature. Enter all information in full; do not use abbreviations. If a block or section does not apply to you, enter "N/A". ☐ Application for License as an Officer, Staff Officer, or Operator and for Merchant Mariner's Document (CG-719B): This is required for ALL license, document, and STCW transactions. The oath sections for original licenses and documents should be signed and witnessed by a U.S. Coast Guard Official. This will help speed the issuance process. Refusal to sign page 2, National Driver Registry (NDR) authorization, could preclude you from receiving a license/document. The signature for the Mariner's Tracking System is purely voluntary. Please ensure that you have signed Sections III and VI. See Criminal Convictions, Drug Use, DWIs, etc. for additional information concerning criminal convictions, drug use, military service, previous Coast Guard actions against you. DWI/DUI, or traffic convictions. ☐ Camera Set-Up Form: This form is required for ALL MMD applications (i.e., original, renewal, and endorsement). Include a recent (within one year) passport-sized photo and sign your name in the center of the signature box using a medium to wide point black ink pen. ☐ Physical Forms: O Merchant Mariner Physical Examination Report (CG-719K): This is required for all originals, renewals, and raise-in-grades of licenses and documents except entry level documents. Ensure the physician provides his or her license number, address, and telephone number. The type of color vision test must be indicated on the form. The physical must be dated within one year of the application date. O Merchant Marine Certification for Entry Level Ratings (CG-719K/E): This is required only for persons applying for an entry level document (i.e., Ordinary Seaman, Wiper, or Steward's Department). This form cannot be used if applying for an STCW-95 Certificate. Ensure that the physician provides his or her license number, address, and telephone number. □ DOT/USCG Periodic Drug Testing Form (CG-719P): The use of this form is not required however, you must prove that you are drug free. The back of the form lists the methods available to you to satisfy the drug testing requirements. ☐ Sea Service Forms: O Sample Sea Service Letter: A template is provided for companies to document a mariner's sea service. O Small Vessel Sea Service Form (CG-719S): This form is typically used for original and renewed licenses for masters and mates on vessels up to 1600 GRT. ☐ Acknowledgement of Radar Requirements: The acknowledgement is required for all licensed deck officers. Renewal for Continuity Form: This form is required only if you wish to renew your license/document and you do not meet the renewal requirements of the Code of Federal Regulations, Title 46, Part 10, Section 209. When you renew for continuity, you are not allowed to sail under the authority of your license/document.

SAMPLE COMPANY LETTER

RANDOM DRUG TESTING PROGRAM

<COMPANY LETTERHEAD>

<DATE>

U.S. Coast Guard Regional Examination Center <ADDRESS> <CITY>, <STATE> <ZIP>

Dear Sir,

Please be advised that <EMPLOYEE NAME> <SOCIAL SECURITY NUMBER> is employed by our company as a <POSITION>.

During the previous 185 days, <EMPLOYEE NAME> has been subject to a random testing program required by Code of Federal Regulations, Title 46, Section 16, Part 230 for at least 60 days and did not fail or refuse to participate in a chemical test for dangerous drugs required by this part.

** The above statement can be included as part of a sea service letter **

Whoever, in any matter within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious, or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned for not more than 5 years or both (18 U.S.C. 1001).

I certify that this statement is true and correct to the best of my knowledge and is in accordance with the warning notice listed above (18 U.S.C. 1001).

SIGNATURE BY AUTHORIZED COMPANY REPRESENTATIVE>

SAMPLE COMPANY LETTER

SEA SERVICE LETTER

<COMPANY LETTERHEAD>

<DATE>

U.S. Coast Guard Regional Examination Center <ADDRESS> <CITY>, <STATE> <ZIP>

Dear Sir,

Please be advised that <EMPLOYEE NAME> <SOCIAL SECURITY NUMBER> is employed by our company as a <POSITION>. The following is an accumulation of <EMPLOYEE NAME>'s seatime.

 Vessel Name
 Official Number
 Type
 Tons
 HP
 Position
 From
 To
 Days Underway

 ** SAMPLE FORMAT **

 Total Days Underway: ≤NUMBER OF DAYS>

The listed seatime consists of <8 OR 12> hour days underway on <WATERS (WESTERN RIVERS/INLAND/ NEAR COASTAL/OCEANS>.

Whoever, in any matter within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious, or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned for not more than 5 years or both (18 U.S.C. 1001).

I certify that this statement is true and correct to the best of my knowledge and is in accordance with the warning notice listed above (18 U.S.C. 1001).

<SIGNATURE BY AUTHORIZED COMPANY REPRESENTATIVE>

SAMPLE ACKNOWLEDGEMENT OF RADAR REQUIREMENTS FORM





U.S. COAST GUARD REGIONAL EXAMINATION CENTER

| deck officers, including the master on inspected ver- equipped, and each person licensed after 01 JUN 93 operator on board uninspected towing vessels of 8 st | 5 for employment or service as master, mate, or meters (approximately 26 feet) or more in length, if lid endorsement as Radar Observer appropriate for the he manning requirements for radar observer are |
|---|---|
| <date></date> | <signature applicant="" of=""></signature> |
| | <printed applicant="" name="" of=""></printed> |
| | Social Security Number> |

U. S. COAST GUARD NATIONAL MARITIME CENTER MERCHANT MARINER CREDENTIAL APPLICATION ACCEPTANCE CHECKLIST

Below is a list of items that constitute an application for a U. S. Coast Guard Merchant Mariner Credential (MMC). The MMC application package <u>must</u> be submitted to your local Regional Examination Center and may be delivered via mail. In person visits are no longer required. <u>DO NOT</u> submit your MMC application package directly to the National Maritime Center (NMC) as this will result in significant delays.

| "READY TO BE EVALUATED" CRITICAL ITEMS: |
|---|
| ☐ Transportation Workers Identification Card (TWIC): (For all transactions) - Provide evidence that you either hold a valid TWIC (photocopy of TWIC) or have applied for a TWIC (TWIC application receipt). IMPORTANT - FAILURE TO PROVIDE THE ABOVE WILL RESULT IN YOUR MMC APPLICATION BEING RETURNED TO YOU. |
| Evaluation User Fee: Pay via credit card or bank account using https://www.pay.gov . IMPORTANT - PRINT AND INCLUDE YOUR PAYMENT RECEIPT AS PROOF OF PAYMENT. |
| ☐ CG Form 719B Application: Be sure to read & accurately complete this entire form. Double check your mailing address & contact info. IMPORTANT – EVERY PERSON WHO RECEIVES AN ORIGINAL CREDENTIAL MUST FIRST TAKE AN OATH. THE OATH MAY BE ADMINISTERED BY A DESIGNATED COAST GUARD INDIVIDUAL OR ANY PERSON LEGALLY PERMITTED TO ADMINISTER OATHS IN THE JURISDICTION WHERE THE PERSON TAKING THE OATH RESIDES (E.G. NOTARY). |
| Form I-551Alien Registration Card: A front and back photocopy copy of your Form I-551 Alien Registration Card. IMPORTANT – THIS APPLIES TO FOREIGN NATIONALS APPLYING FOR RATING ENDORSMENTS; YOU MUST SHOW LAWFUL ADMITTANCE TO THE UNITED STATES FOR PERMANENT RESIDENCE (FORM I-551). |
| Signed Conviction Statement: At the time of application, each applicant must provide written disclosure of all convictions not previously disclosed to the Coast Guard on an application. |
| ☐ Three (3) Character References: This only applies to applications for <i>original</i> officer endorsements. Please see Title 46, Code of Federal Regulations part 11.205(c) for complete details. |
| CG Form 719K Physical Examination Report: To be used for all <i>original</i> , <i>renewal</i> , <i>and raise of grade officer</i> & <i>qualified rating endorsement applications</i> . Applications for entry-level (ordinary seaman, wiper, stewards dept) endorsements should use the CG Form 719K/E Entry-Level Physical Examination Report. Be sure that your medical practitioner completed <i>ALL PARTS</i> of the form, including signature, and that it is dated within 12 months of your application (*note: raise of grade transactions are 36 months). |
| CG Form 719P Chemical Testing Report: This applies to all <i>original</i> , <i>renewal and the following raise of grade</i> transactions (any officer endorsement or first qualified rating endorsement). The chemical test report must be dated with six (6) months of your application. A letter from your marine employer or chemical testing consortium group, on company letterhead, may be used in lieu of this form. |
| ☐ Front and back photo-copy of license, merchant mariner's document and STCW endorsement (If Applicable) |
| ☐ Authorization: 3 rd party info release or different correspondence/credential mailing address (<i>If Applicable</i>) |
| ☐ Evidence of appropriate sea service |
| Photocopies of all applicable Training Course Certificate(s) |
| ** IMPORTANT ** |

All documents provided are subject to verification with the issuing authority. If any of the items displayed in the above box are missing at the time of application, you will be provided a "Notification of Incomplete Application" letter. From the date of this letter you will have 60-days to provide the missing information to the Regional Examination Center. If the missing information is not provided within the 60-day period, your application will be returned to you.

U. S. Coast Guard Regional Exam Centers

- Once you have completed your application packet you can either mail it or turn it in by appointment to one of the below Regional ExamCenters (REC).
- If mailing it please utilize one of the below addresses. It is best to send to your nearest REC.
- If you plan on going to the Regional Exam Center to turn it in please visit the NMC website at www.uscg.mil/nmc to schedule an appointment. Once here click on REC Information and then choose the REC you will be visiting. Click on Appointments and follow the directions.
- If you have any questions please call us at 1-888-427-5662 or email at IASKNMC@uscg.mil

NOTE! Do not mail applications to the National Maritime Center in Martinsburg, WV.

| LICCO DEC L | LIGGG DEG Devalers I |
|--|--|
| | USCG-REC Portland |
| | Address: 911 NE 11 th Avenue |
| | Room# 637 |
| | Portland, OR 97232-4169 |
| ` ' | Fax: (503) 231-6738 |
| | Hours: 7:30 am- 3:30 pm |
| Ü | USCG-REC Seattle |
| | Address: 915 Second Ave. |
| | Room 194 |
| Long Beach, CA 90802 | Seattle, WA 98174-1067 |
| | Fax: (206) 220-7329 |
| Hours: 7:00 am- 3:00 pm | Hours: 7:30 am- 3:30 pm |
| | |
| USCG-REC Memphis | USCG-REC Oakland |
| Address: 200 Jefferson Ave. | Address: Oakland Federal Building |
| Suite 1301 | N. Tower |
| Memphis, TN 38103 | 1301 Clay Street, RM 180 N. |
| Fax: (901)-544-3372 | Oakland, CA 94612-5200 |
| Hours: 8:00 am- 4:00 pm | Fax: (510) 637-1126 |
| • | Hours: 8:00 am- 4:00 pm |
| USCG-REC Miami | USCG-REC St. Louis |
| Address: Claude Pepper Federal Bldg. | Address: 1222 Spruce St. |
| 51 S.W. 1 st Ave. 6 th Floor | Room 7.105 |
| Miami, FL 33130-1608 | St. Louis, MO 63103-2846 |
| Fax: (305)-536-4304 | Fax: (314) 269-2733 |
| | Hours: 7:30 am- 3:30 pm |
| r | <u>r</u> |
| USCG-REC New Orleans | USCG-REC Toledo |
| Address: 4250 Hwy. 22 Suite F | Address: 420 Madison Ave. Suite |
| Mandeville, LA 70471 | 700 |
| * | Toledo, OH 43604-1265 |
| | Fax: (419)-259-7558 |
| 1 | Hours: 7:30 am- 3:30 pm |
| USCG-REC New York | * |
| | |
| 1 South Street | |
| | |
| New York, NY 10004-1466 | |
| New York, NY 10004-1466 Fax: (212)-668-6394 | |
| | Fax: (562) 435-1050 Hours: 7:00 am- 3:00 pm USCG-REC Memphis Address: 200 Jefferson Ave. Suite 1301 Memphis, TN 38103 Fax: (901)-544-3372 Hours: 8:00 am- 4:00 pm USCG-REC Miami Address: Claude Pepper Federal Bldg. 51 S.W. 1 st Ave. 6 th Floor Miami, FL 33130-1608 Fax: (305)-536-4304 Hours: 8:00 am- 4:00 pm USCG-REC New Orleans Address: 4250 Hwy. 22 Suite F Mandeville, LA 70471 Fax: (985) 624-5757 Hours: 8:00 am- 4:00 pm USCG-REC New York Address: Battery Park Building |

Transportation Workers Identification Card (TWIC)

As of **April 15, 2009 all Merchant Mariners must** have a valid TWIC card in order for their credential to be valid. When applying for your Merchant Mariner Credential you must provide evidence that you either hold a valid TWIC or have applied for a TWIC.

If you already have a TWIC card please make a copy of the front and back of your TWIC card and include this with your application packet. If you have applied for your TWIC card please include a copy of your receipt.

If you do not hold or have not applied for a TWIC card you can get information on how to do this by visiting www.tsa.gov or calling 1-866-347-8942 and pressing option 5.

Please ensure that when you apply for your TWIC card that you select Merchant Mariner under the occupation field. If you already applied and did not do this please contact 1-866-347-8942 and select option 5.

Mariner Fees

Below is a list of fees for the various Mariner credentials issued by the US Coast Guard. Each submitted application is subject to an evaluation, examination, and issuance fee. All fees required may be paid at the time the application is submitted or at the following times:

- Evaluation fee when the application is submitted.
- Examination fee before the first examination section is taken.
- Issuance fee before receipt of the MMC.

Fee payment(s) must be made in the exact amount and may be paid by check or credit card. Checks should be written out to the US Coast Guard. The preferred method for submitting payment is by visiting Pay.Gov. Once on Pay.Gov select Agency List/ Click on U/ Select United States Coast Guard/ Select USCG Merchant Mariner User Fee Payment and follow the directions. If Pay.Gov is utilized please ensure that you include a copy of your receipt in your application packet.

| | And you need | | | | | | |
|---|----------------------------|-----------------------------|--------------------------|--|--|--|--|
| If you apply for | Evaluation then the fee is | Examination then the fee is | Issuance then the fee is | | | | |
| MMC with officer endorsement: | | | | | | | |
| Original: | | | | | | | |
| Upper level | \$100 | \$110 | \$45 | | | | |
| Lower level | 100 | 95 | 45 | | | | |
| Renewal | 50 | 45 | 45 | | | | |
| Raise of grade | 100 | 45 | 45 | | | | |
| Modification or removal of limitation or scope | 50 | 45 | 45 | | | | |
| Radio officer endorsement: | | | | | | | |
| Original | 50 | 45 | 45 | | | | |
| Renewal | 50 | n/a | 45 | | | | |
| Staff officer endorsements: | | | | | | | |
| Original | 90 | n/a | 45 | | | | |
| Renewal | 50 | n/a | 45 | | | | |
| MMC with rating endorsement: | | | | | | | |
| Original endorsement for ratings other than qualified ratings | 95 | n/a | 45 | | | | |
| Original endorsement for qualified rating | 95 | 140 | 45 | | | | |
| Upgrade or Raise of Grade | 95 | 140 | 45 | | | | |
| Renewal endorsement for ratings other than qualified ratings | 50 | n/a | 45 | | | | |
| Renewal endorsement for qualified rating | 50 | 45 | 45 | | | | |
| STCW certification: | | | | | | | |
| Original | No fee | No fee | No fee | | | | |
| Renewal | No fee | No fee | No fee | | | | |
| Reissue, replacement, and duplicate | n/a | n/a | ¹ \$45 | | | | |

Pay.Gov Instructions

Please use the below instructions to submit payment for your credential via Pay.Gov. When submitting your application, please ensure that you include a copy of your receipt.

- Go to www.pay.gov
- Click on Agency List, which is located under the section labeled "What Federal Agencies Can I Pay?"
- Select U and then click on United States Coast Guard. Select USCG Merchant Mariner User Fee Payment. This will bring you to a list of definitions that can be reviewed if needed.
- Select Continue and enter all required information and select what evaluation fee you will be paying. Please see Mariner Fees, enclosed within this packet, for guidance on which fee to select. Please note: The evaluation fee must be paid prior to submitting an application.
- Click on Continue. And choose the examination fee that is applicable. Please note: All applications don't require an examination fee. The fee can be paid at the same time as your evaluation fee or you can choose to pay it prior to going to the Regional Exam Center to test.
- Place a checkmark beside the \$45 Issuance fee. Please note: The issuance fee can be paid at the same time as your evaluation fee or you can choose to pay it at a later date. Your completed credential cannot be mailed until this fee is paid.
- Select Continue. This will take you to the summary page. Click on Continue to proceed to the user-fee payment form. You can use your credit card or bank account in order to submit payment. Choose your method of payment and select Continue. Fill in required account information and select Continue.
- Once you hit continue you will be provided with a payment receipt. Print a copy for your records and print another one for your application packet.

U.S. DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG-719K Rev. (01-09)

Merchant Mariner Credential Medical Evaluation Report

OMB-1625-0040 Expires 6/30/2012

- Detailed guidance on the medical and physical evaluation guidelines for merchant mariner credentials is contained in Navigational and Vessel Inspection Circular (NVIC) 4-08.
- Additional information is also available at the National Maritime Center (NMC) Homeport website at: http://homeport.uscq.mil/mmcmedical
- Additional information can also be obtained from NMC at: Commanding Officer, National Maritime Center, 100 Forbes Drive, Martinsburg, WV 25404 or 1-888-I-ASK-NMC (1-888-427-5662)

Who must submit this form?

- Applicants seeking an original, renewal or raise-in-grade credential are required to complete this form or its equivalent, containing the same information, and submit it to the U.S. Coast Guard.
- Guidance for required submission of this form is contained in Enclosure (1) of NVIC 4-08.

Instructions for Applicants

- Applicants are required to provide the applicant information in section I, medication information in Section III, and certification of medical conditions in Section IV.
- Applicants are required to sign and date the certification in section I of this form attesting, subject to criminal prosecution under 18 USC § 1001, that all information reported is true and correct to the best of their knowledge and that they have not knowingly omitted or falsified any material information relevant to this form.
- Applicants should also complete the release in section II of this form.

Privacy Act Statement

As required by Title 5 United States Code (U.S.C) 552a(e)(3), the following information is provided when supplying personal information to the United States Coast Guard.

- Authority for solicitation of the information: 46 U.S.C. 2104(a), 7101[c]-(e), 7306(a)(4), 7313[c](3), 7317(a), 8703(b), 9102(a)(5).
- 2. Principal purposes for which information is used:
 - a. To determine if an applicant is physically capable of performing their duties.
 - b. To ensure that a duly licensed or certified Physician (MD or DO) / Physician Assistant / Nurse Practitioner conducts the applicant's physical examination/certification and to verify the information as needed.
- 3. The routine uses which may be made of this information:
 - a. This form becomes a part of the applicant's file as documentary evidence that regulatory physical requirements have been satisfied and that the applicant is physically competent to hold a credential.
 - b. The information becomes part of the total credential file and is subject to review by Federal agency casualty investigators.
 - c. This information may be used by the United States Coast Guard and an Administrative Law Judge in determining causation of marine casualties and appropriate suspension and revocation action.
- Disclosure of this information is voluntary, but failure to provide this information will result in non-issuance of a credential.

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The United States Coast Guard estimates that the average burden for completing this form is 20 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to the Commandant (CG-543) United States Coast Guard. 2100 2nd Street SW. Washington, DC 20593-0001.

| Applicant Name | Date of Birth: | |
|----------------|----------------|--|

Application for License as an Officer, Staff Officer, or Operator and for Merchant Mariner's Document

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| Section I - Personal D | ata | | (For CG Use On | ly) Date Applicatio | on Received . | |
|--|-----------------------------|----------------------|---------------------|---------------------|----------------------|--------------|
| Last Name (Maiden Name if Applicable |) First Name | | Middle Nam | e Suffix | Social Security Num | ber |
| Date of Birth (MM-DD-YYYY) | Place of Birth (City, State | e, Country) | | | Country of Citizensh | ip |
| Color of Eyes | Color of Hair | Не | eight (ft-in) | | Weight (lbs) | |
| Mailing Address, City, State, Zip Code (1 | PO Boxes acceptable) | Ph | one Number | | | |
| | | Fa | x Number | | · | |
| · | | E- | mail Address | | | |
| Next of Kin's Name | ···· | Re | lationship | | | |
| Next of Kin's Mailing Address, City, Sta | te, Zip Code | No | ext of Kin's Phone | Number | | |
| - | | Ne | ext of Kin's E-mail | Address | _ | <u></u> |
| Parental or Guardian's Consent | | | | | | ··· |
| I am under 18 years old and a section II - Type of Tr | | parental/guardia | in consent is atta | iched. | | |
| Transaction | | Original | Renewal | Raise in Grade | Endorsement | Duplicate* |
| License | | Ē | <u> </u> | | Γ. | |
| Merchant Mariner's Docu | ment (MMD) | Γ. | Г | | | , 🎞 |
| STCW Certificate | | Γ. | П | Б | Γ: | T. |
| Certificates of Registry | | П | | | - Г | , ; |
| Certificate of Discharge S | ea Service | | · | | | |
| *If requesting a duplicate for a lost of when and where your credentials w | rere lost or stolen and yo | ur efforts to recovi | er them. | _ | | |
| Applying for: Grade of License(include | le tonnage, waters, propuls | sion mode, horsepor | wer, etc.);or MMD | rating (Able Scamar | n, QMED-Oiler, etc.) | |
| | | | | | | |
| | | | | | | |
| | • | | | | | |
| State Current or Previous License/ | Merchant Mariner's De | ncument | | | · | |
| Description of License/Merchant Marin | | Place of Issue | | | Date of Issue | |
| , | | | | | 1 | |
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| and the second s | | | AF | | | |
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Application for License as an Officer, Staff Officer, or Operator and for Merchant Mariner's Document

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| Se | ct1 | on III - Narcotics, DWI/DUI, and Conviction Record Conviction mea | ns found guilty by judgment or by plea | | | | |
|---|---|--|---|--|--|--|--|
| and includes cases of deferred adjudication (no contest, adjudication withheld, etc.) or where the court required you to attend classes, make contribution of | | | | | | | |
| time | time or money, receive treatment, submit to any manner of probation or supervision, or forgo appeal of a trial court finding. Expunged convictions must be | | | | | | |
| repo | reported unless the expungement was based upon a showing that the court's earlier conviction was in error. | | | | | | |
| Yes | No | Indicate your answers to the following questions; sign and date at the bottom of this section. | on. | | | | |
| Γ | Have you ever been convicted of violating a dangerous drug law of the United States, District of Columbia, or any state, or territory of the United States? (This includes marijuana.) (If yes, attach statement) | | | | | | |
| | Have you ever been a user of/or addicted to a dangerous drug, including marijuana? (If yes, attach statement) | | | | | | |
| Г | Have you ever been convicted by any court – including military court – for an offense other than a minor traffic violation? (If yes, attach statement) | | | | | | |
| Γ.; | Have you ever been convicted of a traffic violation arising in connection with a fatal traffic accident, reckless driving or racing on the highway or operating a motor vehicle while under the influence of, or impaired by, alcohol or a controlled substance? (If yes, attach statement) | | | | | | |
| Γ. | Have you ever had your driver's license revoked or suspended for refusing to submit to an alcohol or drug test? (If yes, attach statement) | | | | | | |
| Г | П | Have you ever been given a Coast Guard Letter of Warning or been assessed a civil penalty for violation of maritir regulations? (If yes, attach statement) | ne or environmental | | | | |
| | | Have you ever had any Coast Guard license or document held by you revoked, suspended or voluntarily surrender (If yes, attach statement) | ed? | | | | |
| I h | ıve | attached a statement of explanation for all areas marked "yes" above. I signed this section | with understanding that a false | | | | |
| | | ent is grounds for denial of the application as well as criminal prosecution and financial pe | | | | | |
| to a | nev | ver <u>every</u> question will delay my application. | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | ture of Applicant agreeing to the ahove statement | Date | | | | |
| Se | cti | on IV - Character References (For Original License Applicants Only) | | | | | |
| Γ | I | am an Original License Applicant and have attached three letters of written recommendation | | | | | |
| | | on V - Mariner's Consent | | | | | |
| | | al Driver Registry (NDR) (Mandatory): I authorize the National Driver Registry to furnish | | | | | |
| info | rma | ion pertaining to my driving record. This consent constitutes authorization for a single access to t | he information contained in the | | | | |
| ND. | R to | verify information provided in this application. I understand the USCG will make the information | NDR to verify information provided in this application. I understand the USCG will make the information received from the NDR available | | | | |
| to m | ie fo | | received from the NDR available | | | | |
| | | r review and written comment prior to taking any action against my License or Merchant Mariner | s Document. Authority: 46 U. S. | | | | |
| C. / | 101(| r review and written comment prior to taking any action against my License or Merchant Mariner' g) and 46 U. S. C. 7302(c). | s Document. Authority: 46 U. S. | | | | |
| C. / | 101(| r review and written comment prior to taking any action against my License or Merchant Mariner'g) and 46 U.S.C. 7302(c). | s Document. Authority: 46 U. S. | | | | |
| | 101(| g) and 46 U. S. C. 7302(c). | s Document. Authority: 46 U. S. | | | | |
| Хs | igna | g) and 46 U. S. C. 7302(c). Iture of Applicant | s Document. Authority: 46 U. S. Date | | | | |
| X s Mai | ign: | g) and 46 U. S. C. 7302(c). Inture of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Tracki | Date ng System to be used by the | | | | |
| X s Mai Mari | ign: | g) and 46 U. S. C. 7302(c). Atture of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Trackics Administration (MARAD) in the event of a national emergency or sealift crisis. In such an emergency | Date Date ng System to be used by the gency, MARAD would disseminate | | | | |
| X S Mar Mari my c | ignarine | g) and 46 U. S. C. 7302(c). Atture of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Tracking Administration (MARAD) in the event of a national emergency or sealift crisis. In such an emergency consent to an appropriate maritime employment office to determine my availability for positive to the content of the cont | Date ng System to be used by the gency, MARAD would disseminate sible employment on a sealift | | | | |
| Mari Mari Mari my c | ignarine | ature of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Trackite Administration (MARAD) in the event of a national emergency or sealift crisis. In such an emergant information to an appropriate maritime employment office to determine my availability for positive consent is given, it remains effective until revoked in writing. Send signed notice of revocation | Date ng System to be used by the gency, MARAD would disseminate stible employment on a sealift | | | | |
| Mari Mari Mari my c | ignarine | g) and 46 U. S. C. 7302(c). Atture of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Tracking Administration (MARAD) in the event of a national emergency or sealift crisis. In such an emergency consent to an appropriate maritime employment office to determine my availability for positive to the content of the cont | Date ng System to be used by the gency, MARAD would disseminate stible employment on a sealift | | | | |
| Mari Mari Mari my c | ignarine | ature of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Tracking Administration (MARAD) in the event of a national emergency or sealift crisis. In such an emergact information to an appropriate maritime employment office to determine my availability for positive consent is given, it remains effective until revoked in writing. Send signed notice of revocation NMC-4A), 4200 Wilson Blvd., Suite 630, Arlington, VA 22203-1804 | Date ng System to be used by the gency, MARAD would disseminate sible employment on a sealift | | | | |
| Mari Mari my c vesse Cent | igna rine itime conti el, C | ature of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Tracking Administration (MARAD) in the event of a national emergency or sealift crisis. In such an emergency information to an appropriate maritime employment office to determine my availability for positive consent is given, it remains effective until revoked in writing. Send signed notice of revocation NMC-4A), 4200 Wilson Blvd., Suite 630, Arlington, VA 22203-1804 | Date Date ng System to be used by the gency, MARAD would disseminate ssible employment on a sealift in to the USCG National Maritime | | | | |
| X s Mari Mari my c vesse Cent | igna rine itime conti el, C | ature of Applicant r's Tracking System (Optional): I consent to voluntary participation in the Mariner's Tracking Administration (MARAD) in the event of a national emergency or sealift crisis. In such an emergact information to an appropriate maritime employment office to determine my availability for positive consent is given, it remains effective until revoked in writing. Send signed notice of revocation NMC-4A), 4200 Wilson Blvd., Suite 630, Arlington, VA 22203-1804 | Date ng System to be used by the gency, MARAD would disseminate stible employment on a sealift | | | | |

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Section VI - Certification and Oath

Certification (Mandatory) Whoever, in any manner within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, violates the U. S. Criminal Code at Title 18 U. S. C. 1001 which subjects the violator to Federal prosecution and possible incarceration, fine or both. I certify that the information on this application is true and correct and that I have not submitted any application of any type to the Officer-in-Charge, Marine Inspection in any port and been rejected or denied within 12 months of this application. \overline{X} Signature of Applicant agreeing to the above statement Oath (For originals only, Coast Guard official must witness applicant signature.) I do solemnly swear or affirm that I will faithfully and honestly, according to my best skill and judgment, and without concealment and reservation, perform all the duties required of me by the laws of the United States. I will faithfully and honestly carry out the lawful orders of my superior officers aboard a vessel. X Signature of Applicant X Signature of Coast Guard Official Date U.S. Coast Guard Use Only Section VII - REC Application Approval Signature of Approving Official REC Date of application approval Section VIII - REC Citizenship Verification & Credential Issuance Indicate Proof of Citizenship below (For non U.S. also include I.N.S. Alien Registration #) License Endorsement(s) Issued Document Rating(s) Issued Issue Number License Serial Number MMD Serial Number **Expiration Date** Expiration Date Check box if corresponding STCW certificate was issued. Signature of Issuing Official Date Section IX - NMC Verification of Duplicate Transactions Ratings/Endorsements Authorized Signature of Approving NMC Official

Application for License as an Officer, Staff Officer, or Operator and for Merchant Mariner's Document

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PRIVACY ACT STATEMENT

In accordance with 5 U.S. C. 552a(e)(3), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

- 1. AUTHORITY WHICH AUTHORIZED THE SOLICITATION OF INFORMATION
 - A. 46 U. S. C. 7302, 7305, 7314, 7316, 7319, AND 7502
 - B. SEE 46 CFR PARTS 10 AND 12.
- 2. PRINCIPLE PURPOSES FOR WHICH INFORMATION IS INTENDED TO BE USED.
 - A. TO ESTABLISH ELIGIBILITY FOR A MERCHANT MARINER'S DOCUMENT, DUPLICATE DOCUMENTS, OR ADDITIONAL ENDORSEMENTS ISSUED BY THE COAST GUARD.
 - B. TO ESTABLISH AND MAINTAIN A CONTINUOUS RECORD OF THE PERSONS DOCUMENTATION TRANSACTIONS.
 - C. PART OF THE INFORMATION IS TRANSFERRED TO A FILE MANAGEMENT COMPUTER SYSTEM FOR A PERMANENT RECORD.
- 3. THE ROUTINE USES WHICH MAY BE MADE OF THE INFORMATION:
 - A. TO MAINTAIN RECORDS REQUIRED BY 46 U. S. C. 7319 AND 7502.
 - B. TO ENABLE ELIGIBLE PARTIES (i.e. the mariner's heirs or properly designated representative) TO OBTAIN INFORMATION.
 - C. TO PROVIDE INFORMATION TO THE U.S. MARITIME ADMINISTRATION FOR USE IN DEVELOPING MANPOWER STUDIES AND TRAINING BUDGET NEEDS.
 - D. TO DEVELOP INFORMATION AT THE REQUEST OF COMMITTEES OF CONGRESS.
 - E. TO PROJECT BILLET ASSIGNMENTS AT COAST GUARD MARINE INSPECTION/SAFETY OFFICES.
 - F. TO PROVIDE INFORMATION TO LAW ENFORCEMENT AGENCIES FOR CRIMINAL OR CIVIL LAW ENFORCEMENT PURPOSES.
 - G. TO ASSIST U.S. COAST GUARD INVESTIGATING OFFICERS AND ADMINISTRATIVE LAW JUDGES IN DETERMINING
 - MISCONDUCT, CAUSES OF CASUALTIES, AND APPROPRIATE SUSPENSION AND REVOCATION ACTIONS.
- 4. WHETHER OR NOT DISCLOSURE OF SUCH INFORMATION IS MANDATORY OR VOLUNTARY (Required by law or optional) AND THE EFFECTS ON THE INDIVIDUAL, IF ANY, OF NOT PROVIDING ALL OR PART OF THE REQUESTED INFORMATION IS VOLUNTARY, DISCLOSURE OF THIS INFORMATION IS VOLUNTARY, BUT FAILURE TO PROVIDE MAY RESULT IN NON-IISUANCE OF THE REQUESTED DOCUMENT(S).

"An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number."

"The Coast Guard estimates that the average burden for this report is 10 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commanding Officer, U. S. Coast Guard National Mantime Center, 4200 Wilson Blvd, Suite 630, Arlington, VA 22203-1804 or Office of Management and Budget, Paperwork Reduction Project (1625-0040), Washington, DC 20503."

Commanding Officer United States Coast Guard National Maritime Center 100 Forbes Drive Martinsburg, WV 25404 Phone: (304) 433-3400 Fax: (304) 433-3409 E-mail: iasknmc@uscq.mil

October 30, 2009

Instruction Guide to the Merchant Mariner Physical Examination Report (CG-719K & K/E)

Background: The Coast Guard is releasing a new version of the Merchant Mariner Credential Medical Evaluation Report (CG-719 K) and the Merchant Mariner Evaluation of Fitness for Entry Level Ratings (CG-719 K/E) forms to facilitate obtaining objective medical information which will enable the Coast Guard to make a more accurate assessment of mariner fitness for duty with the overall goal of reducing risk to maritime and public safety.

The revised CG 719K and 719K/E forms more clearly align the Merchant Mariner Credentialing process with the guidelines set forth by Navigation and Vessel Inspection Circular 04-08 (NVIC), MEDICAL AND PHYSICAL EVALUATION GUIDELINES FOR MERCHANT MARINER CREDENTIALS.

This NVIC provides guidance for evaluating the physical and medical conditions of applicants for merchant mariner credentials. The new CG 719K and 719K/E forms are designed to be used primarily in conjunction with Enclosure (3) of the NVIC. Enclosure (3) contains a non-exhaustive list of medical conditions subject to further review and supplemental medical data that should be submitted for such medical review. The use of NVIC 04-08 will serve to facilitate obtaining objective medical evidence of an applicant's physical condition as it relates to the ability to safely perform their Merchant Mariner duties. Without this supporting documentation, the medical evaluation process is delayed due to the need to solicit additional medical information. To prevent delays in processing credential applications, mariners and physicians are highly encouraged to use NVIC 04-08 in conjunction with the new physical examination forms. If these forms are properly completed and the additional relevant medical documentation indicated by NVIC 04-08 encl (3) is provided, even those mariner applicants with significant medical conditions should expect to see reduced processing times for their applications.

General instructions are provided through out the form in order to assist both the examiner and the mariner in providing the correct information.

Which form to use? The CG-719 K/E should be used only by mariners seeking an entry level credential. This form is limited to applicants for the following rating endorsements: Ordinary Seaman, Wiper, or Steward's Department (food handler). The CG-719K should be used for all other endorsement applications.

Mariner physical exams completed on or after January 1, 2010 must be on the new CG719K or K/E (Rev (01/09). Physicals completed and signed on previous versions of the form prior to January 1, 2010 will continue to be accepted provided they are dated within one year of the application.

Sincerely, David C. Stalfort Captain, U. S. Coast Guard

Encl: (1) 719K/E Instructions (2) 719K Instructions

719K/E Instructions

Section I and II should be filled in entirely. The examiner should fill in weight and body mass index. The BMI calculation is discussed on the Centers for Disease Control and Prevention website and may be calculated based on height and weight. A useful link to an adult BMI calculator can be found at: http://www.cdc.gov/nccdphp/dnpa/bmi/adult_BMI/about_adult_BMI.htm

Section III Physical Ability Certification must be completed:

- 1. Credential applicants should be physically able to perform assigned shipboard functions and meet the physical demands that would reasonably arise during an emergency response. As used in this context, an "emergency response" refers to emergency evolutions such as abandon ship and firefighting, and the basic procedures to be followed by each mariner.
- 2. If the examining medical practitioner doubts the applicant's ability to meet the guidelines contained within this table, and for all applicants with a Body Mass Index (BMI) of 40.0 or higher, the practitioner should require that the applicant demonstrate the ability to meet the guidelines. This does not mean, for example, that the applicant must actually don an exposure suit, pull an uncharged 1.5 inch diameter 50' fire hose with nozzle to full extension, or lift a charged 1.5 inch diameter fire hose to fire fighting position. Rather, the medical practitioner may utilize alternative measures to satisfy himself or herself that the applicant possesses the ability to meet the guidelines in the third column. A description of the methods utilized by the medical practitioner should be reported on the CG-719K/E as appropriate. All demonstrations of ability should be performed by the applicant without assistance. Any prosthesis normally worn by the applicant and other aid devices such as prescription glasses may be used by the applicant in all practical demonstrations except when the use of such would prevent the proper wearing of mandated personal protective equipment (PPE).

The examiner must check the box certifying whether the mariner does or does not have the physical strength, agility, and flexibility to perform the listed tasks. The examiner should check the "Competent" box if the applicant is able to complete all Physical Ability tests satisfactorily, or there are no physical impairments that would preclude such completion.

The examiner should check the "Not Competent" box if any one of the listed items was not completed satisfactorily. If the applicant is unable to perform any of the following functions, the examiner should provide information on the degree or the severity of the applicant's inability to meet the standards.

The examiner should check "Needing Further Review" in the event that the Physical Ability cannot be assessed (e.g. equipment or opportunity to complete equivalent tasks not available).

The examiner must provide their name, office address, License Number, telephone numbers, and signature with date.

Section IV: The applicant must sign and date Section IV

719K Instructions

Pages 1- 2: Provide general instructions for both the applicant and the medical practitioner completing this form. The applicant must print their name and date of birth on the bottom of <u>each page</u> of the CG 719K.

Page 3:

Section I – **Applicant Information:** The applicant must complete **Section I** entirely, including their signature. An Alien Registration Number may be entered in lieu of a Social Security Number. It is recommended that mariners provide good telephone contact information (home, work, cell) as our medical evaluators may be able to resolve simple issues over the phone.

Section II - Release: Completion of **Section II** is voluntary. Mariners may be able to avoid potential delays in the medical evaluation process by authorizing their verifying medical practitioner to release or discuss pertinent information directly with the Coast Guard Mariners wishing to authorize release need to print their name, sign and date this section.

Page 4

Section III - Medications: The applicant must either check "NONE" if not taking any medications or provide the names of the medications, dosage, and the reason the medication has been prescribed for medications used within 30 days prior to the date of the 719K, or medications used for a period of 30 or more days within the last 90 days prior to the date of the 719K. The (VMP) should review the list of medications for accuracy. See the example below.

Page 4 of 9 of CG-719K Rev. 01-09 Section III - Medications (must be completed by applicant and reviewed by verifying medical practitioner) Credential applicants who are required to complete a general medical exam are required to report all prescription medications prescribed, filled or refilled and/or taken within 30 days prior to the date that the applicant signs the CG-719K or approved equivalent form. In addition, all prescription medications, and all non-prescription (over-the-counter) medications including dietary supplements and vitamins, that were used for a period of 30 or more days within the last 90 days prior to the date that the applicant signs the CG-719K or approved equivalent form, must also be reported. The information reported by the applicant must be verified by the verifying medical practitioner or other qualified medical practitioner to the satisfaction of the verifying medical practitioner to include the following two items. Report all medications (prescription and non-prescription), dietary supplements, and vitamins. Include dosages of every substance reported on this form, as well as the condition for which each substance Additional sheets may be added by the applicant and/or qualified medical practitioner if needed to complete this section (include applicant name and date of birth on each additional sheet). If none, check "NONE." NONE Lisinopril 10mg, one pill a day for high blood pressure Atendol 50 mg; one pill a day for heart rate control and blood pressure Glucophage 500mg, 1 pill twice a day for my diabetes Aspirin 325 mg, one tab a day for blood thinning Colace 500 mg, one as needed for regularity Multivitamin, one a day for dietary supplementation

Page 4 - 5

Section IV - Certification of Medical Conditions:

The applicant must check all the medical conditions/diagnoses that apply. See the example below. The VMP should elaborate on the medical conditions checked by providing comments on 1) the identified condition, 2) list any limitations caused by the condition, 3) is the condition controlled, 4) approximate date of diagnosis, 5) prognosis, and 6) any additional information about the condition. Conditions of concern are those with the potential to cause sudden incapacitation, or have the potential to deteriorate significantly over the next 5 years. In general, medical conditions qualify for a waiver when the conditions are sufficiently controlled to mitigate risk to maritime and public safety. In order to determine whether or not a medical condition is controlled, objective documentation is required as outlined in NVIC 04-08 encl (3). For example, it is not sufficient to only indicate "CAD with MI and CABG in 2004, stable" Supporting objective medical documents would include a recent cardiology evaluation, recent report of GXT (Bruce protocol to at least 8.0 METS), a recent echocardiogram report to assess LVEF and perhaps the coronary catheterization and operative reports from 2004. Recent reports should be no more than 12 months old.

Not every condition listed on the 719K will be specifically referenced in the NVIC. Some conditions are more descriptive, rather than diagnostic. In these cases, an evaluation of the underlying cause of the condition should be obtained from a relevant specialist. For example, fainting spells or loss of consciousness (72) may require a cardiology, neurology or endocrine evaluation based upon the etiology of the symptoms. Any other condition not specifically referenced in the NVIC, the examiner should obtain an evaluation from the relevant medical specialist.

| Page 5 of 9 of 1. Identif | y the Con | | dition Controlle | d? | | 5. Prognosis | | |
|---|---|----------------------------------|------------------------------|---------------------------|---|--|--|--|
| List Any Limitations Approximate Date of Diagnosis | | | | | | Additional Information | | |
| | | | | | | o. Additional information | | |
| YES NO YES NO 1. □ BX Earsurgery, 45. □ BX | | | | | | Kidney stones | | |
| 2. | | Hearing loss, hearing aid | 46. | | Ñ | Protein/sugar/blood in urine | | |
| 3. | | Impaired speech or stutter | | | õ | Back surgery or Injury | | |
| 4. | | ☑ Deformities of face | 48. | | | Ruptured/hernlated disc | | |
| 5. | | Open tracheostomy | 49. | | | Fractures requiring surgery | | |
| 6. | | | | | Limitation of any major joint | | | |
| 7. | | | | | Bone or joint surgery | | | |
| 8. | | History of eye surgery | 52. | Dislocated joint | | | | |
| 9. | | Abnormal color vision | 53. | | | Recurrent neck or back pain | | |
| 10. | | Glaucoma | 54. | | | Swollen or painful joint | | |
| | 11. | | | Arthritis or bursitis | | | | |
| | 12. | | | | Trick or locked knee Amputation or prosthesis | | | |
| 14. | 13. GCollapsed lung/pneumothorax 57. GC 14. CC Irregular heart beat 58. GC 158. | | | Carpal tunnel | | | | |
| 15. | <u>~</u> | Heart murmur or valve rep | _ | | | Difficulty walking or climbing | | |
| 16. | ä | Chest pain or angina | 60. | | ä | Sciatica or nerve pain | | |
| 17. | | Heart attack/ myocardial in | | | \Box | Other bone/joint disorder | | |
| 18. | | Congestive heart fallure | 62. | | × | Motion/sea sickness | | |
| 19. | | Heart surgery/stent/anglo | plasty 63. | | | impaired balance, or balance disorder or difficulty | | |
| 20. | | Pacemaker or defibrillator | 64. | | × | Vertigo or dizziness | | |
| 21. | | Any other heart condition | 65. | | × | Numbness or paralysis | | |
| 22. | × | High blood pressure/hype | | | × | Head injury or skull fracture | | |
| 23. | | Aneurysm or blockages | 67. | | × | Seizures or epilepsy | | |
| | 24. Delmonary embolus or blood clots 68. Delmonary embolus or blood clots | | | | | Recurrent headaches | | |
| 25. Gastrointestinal bleeding or ulcers 69. 26. Crohn's disease or ulcerative colitis 70. | | | | Narcolepsy Sleep apnea | | | | |
| | 27. Mary Hepatitis or jaundice 71. Mary Hepatitis or Jaundice 71. | | | | | Restless leg | | |
| 28. | | Gallbladder problems or s | | | K | Fainting spells or loss of consciousness | | |
| 29. | | Intestinal surgery | 73. | | E | Stroke or TIA | | |
| 30. | | | Brain tumor | | | | | |
| 31. | | | Other brain or nerve disease | | | | | |
| 32. | | | × | ADD, ADHD, or bipolar | | | | |
| 33. | | Any other blood disorders | 77. | | × | Depression | | |
| 34. | | Thyrold disease | 78. | | × | History of suicide attempt | | |
| 35. | × | Diabetes | 79. | | × | Schizophrenia | | |
| 36. | | HIV or AIDS | 80. | | × | Anxiety | | |
| 37. | | Lymphoma or leukemia | 81. | | × | Alcohol or substance abuse | | |
| 38. 39. | | ▼ Tuberculosis Neurofibromatosis | 82. 83. | | × | Loss of memory or amnesia Other psychiatric disease or counseling | | |
| 40. | | Skin tumors or cancer | 84. | H | × | Sleepwalking | | |
| 41. | | Scieroderma | 85. | | Ñ | Bedwetting since age 12 | | |
| 42. | | Lupus | 86. | | E | Sex change | | |
| 43. | | Kidney transplant or dialys | sis 87. | | × | Allergic reactions | | |
| 44. | , 🗆 | Kidney disease or cancer | 88. | × | | Any other disease, surgery or hospitalization | | |
| Condition # Comment | | | | | | | | |
| | #14 Chronic A-fib;diagnosed 2004;asymptomatic;controlled ventricular rate; Rx Coumadin; INR therapeutic;great prognosis;see cardiology consult ETT(Bruce)& EKG | | | | | | | |
| | #22 Diagnosed HTN '04, controlled w/Lisinopril, no impairing side effects, no limitations; good prognosi | | | | | | | |
| | #35 DMII dx '01, controlled w/ oral meds, excellent prognosis, no limitations, no diabetic complications; opthalmology and PCM evals and lab studies attached | | | | | | | |
| | (2 HgBAlc and FBS) | | | | | | | |
| | #88 Gunshot wound RLE '94, no limitations, fully healed, no sequeale, no meds, great prognosis. | | | | | | | |

Page 6

Section V(a) - Visual Acuity:

The VMP must complete the mariner's Visual Acuity. If any block is marked "Abnormal", information should accompany the CG 719K to explain. For applicants using corrective lenses, the VMP must include both uncorrected and corrected distant vision testing. Current deck standards require an applicant to have correctable vision to at least 20/40 in one eye and uncorrected vision of at least 20/200 in the same eye. Current engineering, radio operator, tankerman and MODU standards require an applicant to have correctable vision of at least 20/50 in one eye and uncorrected vision of at least 20/200 in the same eye. See NVIC 04-08 encl (5) for further guidance.

Section V(b) - Color vision:

Current regulations require all applicants to submit the results of color vision testing. The color vision test performed should be selected from the list provided, and the 719K must be checked *normal* or *abnormal*. If any block is checked "Abnormal", information must accompany the CG 719K to explain. Any alternative test must be approved by the USCG prior to sending the examination report. The use of unapproved alternative testing will likely cause delays in the medical evaluation process. The use of color sensing lenses is prohibited. See NVIC 04-08 encl (5) for further guidance.

Section VI: - Hearing:

It is not necessary to submit an audiogram for an applicant with normal hearing. If hearing is abnormal, the applicant must submit the results of audiogram testing to include functional speech discrimination at 55dB. The frequency responses for each ear are averaged to determine the measure of an applicant's hearing ability. Current regulations have changed the hearing standard from 30dB average at 500 Hz, 1000Hz, 2000Hz and 3000Hz to 20dB in each ear. See NVIC 04-08 encl (5) for further guidance.

Pages 7 and 8

Section VII (a) - Physical information

The VMP should complete all items. The BMI calculation is discussed on the Centers for Disease Control and Prevention website and may be calculated based on height and weight. A useful link to an adult BMI calculator can be found at: http://www.cdc.gov/nccdphp/dnpa/bmi/adult_BMI/about_adult_BMI.htm

Section VII (b) - Physical Exam

This section is to be completed by the VMP only. See the example below. The Verifying Medical Practitioner must make numbered comments about any "abnormal" findings and provide comments and descriptions of any physical impairments and associated limitations. Significant negative findings should be noted as well.

| | Section VII (b)- Physical Exam (must be completed by verifying medical practitioner) | | | | | | | |
|----|--|----------|---------------------------|-----|----------|----------|------------------------------|--|
| # | Normal | Abnormal | System/Organ | # | Normal | Abnormal | System/Organ | |
| 1. | ✓ | | Head, Face, Neck, Scalp | 10. | ✓ | | Skin | |
| 2. | ✓ | | Eyes / Pupils / EOM | 11. | ✓ | | Lymphatic | |
| 3. | ✓ | | Mouth And Throat | 12. | ✓ | | Neurologic | |
| 4. | ✓ | | Ears / Drums | 13. | ~ | | Vascular System | |
| 5. | ✓ | | Lungs And Chest | 14. | \ | | Genital-Urinary System | |
| 6. | | ✓ | Heart | 15. | ~ | | Hernia | |
| 7. | ✓ | | Abdomen | 16. | ✓ | | Missing extremities / Digits | |
| 8. | ✓ | | Upper / Lower Extremities | 17. | | ✓ | General / Systemic | |
| 9. | ✓ | | Spine / Musculoskeletal | | | | | |

Please make numbered comments on abnormal systems/organs:

| #6 | #6 Chronic a Fib;controlled rate; asymptomatic;9 .0 METS on GXT | | | | | | | |
|----|---|--|--|--|--|--|--|--|
| #8 | #8 RLB with normal strength, FROM; normal gait; no limitations | | | | | | | |
| #1 | 7 FBS 145; HgBA1C now 7.2; diabetic exam WNL; no diabetic retinopathy | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Section VIII - Demonstration of Physical Ability (to be completed by the VMP):

- 1. Credential applicants should be physically able to perform assigned shipboard functions and meet the physical demands that would reasonably arise during an emergency response. As used in this context, an "emergency response" refers to emergency evolutions such as abandon ship and firefighting, and the basic procedures to be followed by each mariner.
- 2. If the examining medical practitioner doubts the applicant's ability to meet the guidelines contained within this table, and for all applicants with a Body Mass Index (BMI) of 40.0 or higher, the practitioner should require that the applicant demonstrate the ability to meet the guidelines. This does not mean, for example, that the applicant must actually don an exposure suit, pull an uncharged 1.5 inch diameter 50' fire hose with nozzle to full extension, or lift a charged 1.5 inch diameter fire hose to fire fighting position. Rather, the medical practitioner may utilize alternative measures to satisfy himself or herself that the applicant possesses the ability to meet the guidelines in the third column. A description of the methods utilized by the medical practitioner should be reported on the CG-719K section IX as appropriate. All demonstrations of ability should be performed by the applicant without assistance. Any prosthesis normally worn by the applicant and other aid devices such as prescription glasses may be used by the applicant in all practical demonstrations except when the use of such would prevent the proper wearing of mandated personal protective equipment (PPE).

Page 9 Section IX - Verifying Medical Practitioner Recommendation:

The VMP must check the box certifying whether the mariner does or does not have the physical strength, agility, and flexibility to perform the listed tasks. See the example below. The examiner should check the "Competent" box if the applicant is able to complete all Physical Ability tests satisfactorily, or there are no physical impairments that would preclude such completion.

The examiner should check the "**Not Competent**" box if any one of the listed items was not completed satisfactorily. If the applicant is unable to perform any of the following functions, the examiner should provide information on the degree or the severity of the applicant's inability to meet the standards.

The examiner should check "Needing Further Review" in the event that the Physical Ability cannot be assessed (e.g. equipment or opportunity to complete equivalent tasks not available).

The results of any practical demonstration should be recorded in the comment space provided, along with any other comments relevant to this physical examination report.

Verifying Medical Practitioner: The VMP completes this section to include their name, address, phone number, and state license number.

| Page 9 of 9 of CG-719K Rev. 01-09 | | | | | | | |
|---|--|--|--|--|--|--|--|
| Section IX – Verifying Medical Practitioner Recommendation | | | | | | | |
| Recommended Competent | Not Recommended Competent (exp | nents) Needing Further Review (explain in comments) | | | | | |
| Comments on Recommendation: | hypertension and diabetes well with oprognosis is very good and his diabet controlled. No episode of symptomatic limitations and I deem him fully fit The Atrial Fibrillation is unremarkal with Coumadin and a beta blocker. IN attached Cardiologist Report). LVEF ischemia; very active physically; or | e for the last 12 yrs and I have managed his well with oral medications. Mr. Mariner's d his diabetes and hypertension are well symptomatic hypoglycemia; The are no physical m fully fit to perform his duties s unremarkable , without symptoms; managed well blocker. INRs have been therapeutic. See ort). LVEF 55% on echo; 9.0 METS on GXT; no sically; counseled on weight reduction; Mr. spitalized for his A-FIB, and his prognosis is | | | | | |
| Verifying Medical Practitioner: | | | | | | | |
| This signature attests, subject to criminal prosecution under 18 USC § 1001, that all information reported by the verifying medical practitioner is true and correct to the best of his/her knowledge and that the verifying medical practitioner has not knowingly omitted or falsified any material information relevant to this form. Name (Printed) of Physician/Physician's Assistant/Nurse Practitioner Signature Wilton 7. Wariner | | | | | | | |
| | Date | | | | | | |
| | | | | | | | |

U.S. DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG-719K Rev. (01-09)

Merchant Mariner Credential Medical Evaluation Report

OMB-1625-0040 Expires 6/30/2012

- Detailed guidance on the medical and physical evaluation guidelines for merchant mariner credentials is contained in Navigational and Vessel Inspection Circular (NVIC) 4-08.
- Additional information is also available at the National Maritime Center (NMC) Homeport website at: http://homeport.uscg.mil/mmcmedical
- Additional information can also be obtained from NMC at: Commanding Officer, National Maritime Center, 100 Forbes Drive, Martinsburg, WV 25404 or 1-888-i-ASK-NMC (1-888-427-5662)

Who must submit this form?

- Applicants seeking an original, renewal or raise-in-grade credential are required to complete this form or its equivalent, containing the same information, and submit it to the U.S. Coast Guard.
- Guidance for required submission of this form is contained in Enclosure (1) of NVIC 4-08.

Instructions for Applicants

- Applicants are required to provide the applicant information in section I, medication information in Section III, and certification of medical conditions in Section IV.
- Applicants are required to sign and date the certification in section I of this form attesting, subject to criminal prosecution under 18 USC § 1001, that all information reported is true and correct to the best of their knowledge and that they have not knowingly omitted or falsified any material information relevant to this form.
- Applicants should also complete the release in section II of this form.

Privacy Act Statement

As required by Title 5 United States Code (U.S.C) 552a(e)(3), the following information is provided when supplying personal information to the United States Coast Guard.

- 1. Authority for solicitation of the information: 46 U.S.C. 2104(a), 7101[c]-(e), 7306(a)(4), 7313[c](3), 7317(a), 8703(b), 9102(a)(5).
- Principal purposes for which information is used:
 - a. To determine if an applicant is physically capable of performing their duties.
 - b. To ensure that a duly licensed or certified Physician (MD or DO) / Physician Assistant / Nurse Practitioner conducts the applicant's physical examination/certification and to verify the information as needed.
- The routine uses which may be made of this information:
 - a. This form becomes a part of the applicant's file as documentary evidence that regulatory physical requirements have been satisfied and that the applicant is physically competent to hold a credential.
 - b. The information becomes part of the total credential file and is subject to review by Federal agency casualty investigators.
 - c. This information may be used by the United States Coast Guard and an Administrative Law Judge in determining causation of manne casualties and appropriate suspension and revocation action.
- Disclosure of this information is voluntary, but failure to provide this information will result in non-issuance of a credential.

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The United States Coast Guard estimates that the average burden for completing this form is 20 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to the Commandant (CG-543) United States Coast Guard. 2100 2nd Street SW. Washington, DC 20593-0001.

| Applicant Name: Date of Birth: | |
|--------------------------------|--|

General Instructions for Medical Practitioner

- 1. The Coast Guard requires a physical examination and certification be completed to ensure that mariners:
 - Are of sound health.
 - Have no physical limitations that would hinder or prevent performance of duties (see below).
 - Are free from any medical conditions that pose a risk of sudden incapacitation, which would affect operating, or working on vessels.
- 2. The medical practitioner must ensure a complete history and physical are conducted and make recommendations as to the fitness of the applicant. Final approval of the mariner's status rests with the U.S. Coast Guard.
- 3. All examinations, tests and demonstrations must be performed, witnessed or reviewed by a physician (Medical Doctor (MD) or Doctor of Osteopathy (DO)) or nurse practitioner or a certified physician assistant licensed by a State in the U.S., a U.S. possession, or a U.S. territory. The verifying medical practitioner (VMP) who performed the examination must complete sections III, IV, VIII, and IX of this form.
- 4. Detailed guidelines on medical conditions subject to further review are contained in NVIC 4-08 encl (3). Medical practitioners should be familiar with the guidelines contained within this document. NVIC 4-08 may be obtained from http://www.uscg.mil/hq/cq5/nvic/2000s.asp#2008 or by calling the nearest USCG Regional Examination Center, or the National Maritime Center (http://homeport.uscg.mil/mmcmedical) at 1-888-IASKNMC (1-888-427-5662).
- Verification of medications in section III of this form includes questioning the applicant about any medications or other substances reported, reviewing relevant medical conditions to determine if the applicant has omitted any medications or other substances, and affirmatively reporting any omitted current medications or other substances where required.
- 6. All applicants who require a general medical examination must be physically examined by the verifying medical practitioner.
- 7. The verifying medical practitioner is not required to perform or witness every examination, test or demonstration. These may be referred to other qualified practitioners; however, they must be reviewed to the satisfaction of the verifying medical practitioner. The last page of this form contains a certification that the general medical examination, vision and hearing tests, as well as the physical demonstration of competence as appropriate, have been performed, witnessed or reviewed to the satisfaction of the verifying medical practitioner. Applicants who are required to complete a general medical examination are also required to complete vision tests, and they may be required to complete hearing tests and/or demonstrations of physical competence as appropriate. The verifying medical practitioner must sign and date the certification where indicated. This signature attests, subject to criminal prosecution under 18 USC § 1001, that all information reported by the verifying medical practitioner is true and correct to the best of his/her knowledge and that the verifying medical practitioner has not knowingly omitted or falsified any material information relevant to this form.
- 8. If the verifying medical practitioner is unable to determine the applicant's physical ability, the applicant should be referred to another healthcare provider who can properly evaluate and test physical abilities.

Instructions for Providing Proof of Identity

- Applicants shall present acceptable proof of identity to the medical practitioner conducting examinations.
- Medical practitioners must verify the identity of applicants before conducting examinations.
- Proof of identity shall consist of one current form of valid government issued photo identification.
- The following credentials are examples of acceptable proof of identity.
 - Unexpired official identification issued by a federal, State, or local government or by a territory or possession of the United States, such as a passport, U.S. driver's license, U.S. military ID card or Merchant Mariner's Document/Merchant Mariner Credential.

| Applicant Name: | Date of Birth: |
|-----------------|----------------|

| Page 3 of 9 of CG-719K Rev. 0 | Section I - Applicant | Information | | | | | |
|---|--|--|---|--|--|--|--|
| Last Name: | First Name: | Middle Name: | Suffix: (Jr., Sr., III) | | | | |
| Age: | Date of Birth (MM/DD/YYYY): Social Security Number: | | | | | | |
| | Applicant Certification <i>(to be</i> | signed by applicar | nt) | | | | |
| My signature below att and correct to the best relevant to this form. | ests, subject to prosecution under 18 USC of my knowledge, and that I have not kno | C 1001, that all information owingly omitted to report | on that I have reported is true any material information | | | | |
| Date: | Printed Name: | | | | | | |
| | Signature: | | | | | | |
| How do you wish to be α | ontacted? (phone, e-mail, letter, fax) Ple | ease include contact info | rmation below: | | | | |
| | | | - | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | Section II - Re | elease | | | | | |
| release to, or discuss v regarding any physical | venifying medical practitioner (VMP), who with authorized Coast Guard personnel, are or medical condition that may require reveloissue a credential(s) for maritime service | ny pertinent information i iew by the Coast Guard | n his/her possession | | | | |
| Coast Guard's ability to for maritime service. The | outhorization is voluntary. I also understand o make a timely determination as to wheth his authorization will remain in effect until of for maritime service, but no longer than o | ier the Coast Guard shou the Coast Guard determi | uld issue me a credential(s) | | | | |
| I have read and under | stand the following statement about my rig | ıhts: | | | | | |
| I may revoke this au writing, but the revoken. | thorization at any time prior to its expiration cation will not have any effect on any action | on date by notifying the vons taken before they rec | erifying medical practitioner in eived the notification. | | | | |
| Upon request, I may | see or copy the information described in | this release. | | | | | |
| ▶ I am not required to | sign this release to receive my medical ev | valuation. | | | | | |
| | • | | • | | | | |
| | | | | | | | |
| Applicant: | | | | | | | |
| Applicant: Name (Printed): | Signature: | | Date: | | | | |

Date of Birth:_____

Applicant Name:

| Page 4 of 9 of CG-719K Rev. 01-09 Section III - Medications (must be completed by applicant and reviewed by verifying medical practitioner) |
|---|
| Credential applicants who are required to complete a general medical exam are required to report all prescription medications prescribed, filled or refilled and/or taken within 30 days prior to the date that the applicant signs the CG- |
| 719K or approved equivalent form. In addition, all prescription medications, and all non-prescription (over-the-counter) medications including dietary supplements and vitamins, that were used for a period of 30 or more days within the last 90 days prior to the date that the applicant signs the CG-719K or approved equivalent form, must also be reported. |
| The information reported by the applicant must be verified by the verifying medical practitioner or other qualified medical practitioner to the satisfaction of the verifying medical practitioner to include the following two items. 1. Report all medications (prescription and non-prescription), dietary supplements, and vitamins. 2. Include dosages of every substance reported on this form, as well as the condition for which each substance is taken. |
| Additional sheets may be added by the applicant and/or qualified medical practitioner if needed to complete this section (include applicant name and date of birth on each additional sheet). |
| If none, check "NONE." NONE |
| |
| |
| |
| |
| |
| |
| Section IV - Certification of Medical Conditions (must be completed by applicant and reviewed by verifying medical practitioner) |
| Applicants must report their relevant medical conditions to the best of their knowledge, and the verifying medical practitioner must verify the medical conditions, using the table below. Check "yes" if the applicant has had a previous diagnosis or treatment of the condition by a healthcare provider, or if the applicant is currently under treatment or observation for the condition, or if the condition is present regardless of treatment. |
| If the verifying medical practitioner, or any other health care provider to the satisfaction of the verifying medical practitioner, discovers a condition not reported by the applicant, he/she must check "yes" in the appropriate block and explain in the remarks. |
| The verifying medical practitioner must address all reported relevant conditions in detail in this Section. This detailed explanation should include, at a minimum, identification of the condition, approximate date of diagnosis, any limitations, whether the condition is controlled, the prognosis and any additional information as appropriate, referring to |
| the evaluation data listed in enclosure (3) of NVIC 4-08 for each condition. Additional sheets may be added by the applicant and/or verifying medical practitioner if needed to complete this section of the form. (include applicant name and DOB on each additional sheet). |
| To the best of the applicant's knowledge, does the applicant have, or have ever suffered from, any of the |
| following? If YES, the applicant must PROVIDE THE TEST RESULTS AND/OR RECORDS AS INDICATED, referring to the |
| evaluation data listed in enclosure (3) of NVIC 4-08 for each condition. Documentation of evaluation data specified in this table for all applicable medical conditions potentially requiring further review should be submitted with each |
| application, unless otherwise specified by the NMC. Manners, including first class pilots and those individuals "serving as" pilots (as well as Great Lakes pilots) who are required to submit annual physical examinations to the Coast Guard, |
| may be issued a letter by the NMC specifying the extent of the evaluation data, if any, that should be submitted to the Coast Guard for any medical conditions that have been previously reported to, and evaluated by, the NMC. |
| The verifying medical practitioner shall make comments on all answers marked "yes" on the following page for which no evaluation data has been submitted. If known to the VMP, the VMP may comment that a condition has been previously |
| reported on a prior CG-719K, but only for those CG-719Ks submitted after December 31, 2008, and only for those conditions which have not changed since the condition was previously reported on a prior CG-719K |

Applicant Name: _____ Date of Birth: _____

| 1. | | y the Co | | *************************************** | ontrolle | d? | | 5. | Prognosis |
|-------------------------|-------------|--------------------|----------|--|------------|----------|----------|------------------------|--|
| 2. List Any Limitations | | | | 4. Approximate Date of Diagnosis | | | 6. | Additional Information | |
| L <u></u> - | | YES | NO | . Approximate a | | YES | NO | | Additional Information |
| | 1. | | | Ear surgery, | 45. | | | Kidney s | tones. |
| | 2. | _ | | Hearing loss, hearing aid | 46. | <u> </u> | Ö | - | sugar/blood in urine |
| | 3. | 5 | | Impaired speech or stuttering | 47. | <u> </u> | = | | rgery or injury |
| | 4. | | | Deformities of face | 48. | | | | d/hemiated disc |
| | 5. | | | Open tracheostomy | 49. | □ | | - | s requiring surgery |
| | 6. | | | Poor vision | 50. | | | | n of any major joint |
| | . 7. | | | History of eye disease or injury | 51. | | | Bone or | joint surgery |
| | 8. | | | History of eye surgery | 52. | | | Dislocate | ed joint |
| | 9. | | | Abnormal color vision | 53. | | | Rесите | nt neck or back pain |
| | 10. | □ | | Glaucoma | 54. | | | | or painful joint |
| | 11. | | | Asthma | 55. | | □ | | or bursitis |
| | 12. | | | Emphysema or COPD | 56. | | | | locked knee |
| | 13. | | | Collapsed lung/pneumothorax | 57. | | | | ion or prosthesis |
| | 14. | | | Irregular heart beat | 58. | | | Carpal to | |
| | 15. 16. | | | Heart murmur or valve replacement Chest pain or angina | 59. 60. | | ㅁ | _ | walking or climbing |
| | 17. | | | Heart attack/ myocardial infarction | 61. | | | | or nerve pain one/joint disorder |
| | 18. | 5 | 6 | Congestive heart failure | 62 | ä | ä | | ee sickness |
| | 19. | <u> </u> | <u> </u> | Heart surgery/stent/angioplasty | 63. | 6 | ä | | I balance, or balance disorder or difficulty |
| | 20. | <u></u> | | Pacemaker or defibrillator | 64. | 6 | <u> </u> | | or dizziness |
| | 21. | ā | <u></u> | Any other heart condition | 65. | ŏ | ă | | ss or paralysis |
| | 22. | | | High blood pressure/hypertension | 66. | _ | 6 | | ury or skull fracture |
| | 23 . | | | Aneurysm or blockages | 67. | | _ | | s or epilepsy |
| | 24. | | | Pulmonary embolus or blood clots | 68. | □ | | | nt headaches |
| | 25. | | | Gastrointestinal bleeding or ulcers | 69. | | | Narcolep | osy |
| | 26. | | | Crohn's disease or ulcerative colitis | 70. | | | Sleep ap | nea |
| | 27. | | | Hepatitis or jaundice | 71. | | | Restless | |
| | 28 . | □ | | Galibladder problems or stones | 72. | | | | spells or loss of consciousness |
| | 29 . | | | Intestinal surgery | 73. | | ₽ | Stroke o | |
| | 30. | | | Any form of cancer | 74. | □ | ₽ | Brain tun | |
| | 31. | | | Anemia | 75. | | | | ain or nerve disease |
| | 32. 33. | | | Hemophilia or polycythemia Any other blood disorders | 76. 77. | | | | OHD, or bipolar |
| | 34. | H | | Thyroid disease | 78. | | | Depressi | ion If suicide attempt |
| | 35. | E E | | Diabetes | 79. | | <u> </u> | Schizoph | • |
| | 38. | ă | ö | HIV or AIDS | 80. | | 5 | Anxiety | nona . |
| | 37. | ă | Ī | Lymphoma or leukemia | 81. | 6 | ŏ | _ | or substance ebuse |
| | 38. | Ē | | Tuberculosis | 82. | ◱ | | | nemory or amnesia |
| | 39. | | | Neurofibromatosis | 83. | | | | ychiatric disease or counseling |
| | 40. | | | Skin tumors or cancer | 84. | | | Sleepwa | |
| | 41. | | | Scieroderma | 85. | | | Bedwetti | ng since age 12 |
| | 42. | \Box | | Lupus | 86. | | | Sex char | nge |
| | 43. | | | Kidney transplant or dialysis | 87. | | | Allergic r | |
| | 44. | | | Kidney disease or cancer | 68. | | | Any other | er disease, surgery or hospitalization |
| Conc | lition # | Comm | ent | | | | | | ··· · · · · · · · · · · · · · · · · · |
| | | | | | | | | | |
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| - 3 | | | | , | | 447 | | * | · · · · · · · · · · · · · · · · · · · |
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| | | \$ 1.54 \$ 1.54 | | | | | | | |
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| . , | | | | | | | <u> </u> | | |
| | Арр | licent Nen | ne: | | | | De | ate of Birth | <u>:</u> |

Applicant Name: ____

| Section V (a) – Visual Acuity | | | | | | | | | | |
|--|--|-------------|------------|----------|-----------------------------|-----------------------|----------------|--------------|----------------|------------|
| This section must be completed by the verifying medical practitioner, or any other healthcare provider to the satisfaction of the verifying medical practitioner see encl 5 of NVIC 4-08. Additional information must be reported in Section VII. If corrective lenses are required to meet the standard, both corrected and uncorrected vision must be tested. | | | | | | | | | | |
| Distant U | Incorrected | Distant | Correcte | ed To | | | Field of | Vision | | |
| Right: | 20 / | Right: 2 | 0 / | | | is applicant mu | | 0-degree | ☐ Nor | mal |
| Left: | 20 / | Left: 2 | 20 / | | horizontal field of vision. | | | | ☐ Abr | ormal |
| | | | Sect | ion V (b |) - (| Color Vision | 1 | | | |
| acceptable: | g color sense testi | • | • | | | ☐ Titmus Visi plates) | on Tester / C | PTEC 200 | 0 — (No еп | ors on six |
| _ | 965) – (6 or fewer RR (2 nd Edition) – | - | | = | , | Farnsworth booklet. | Lantern (col | ored lights) |) Test per i | nstruction |
| _ | nd (1983) – (6 or 1 | • | • | .• | | Optec 900 | (colored light | s) Test per | instruction | booklet. |
| ☐ Ishihara pseudoisochromatic plates test, 14 plate (5 or less errors), 24 plate (6 or less errors) 38 plate (8 or less errors) ☐ An alternative test approved by the Coast Guard (indicate test) | | | | | | | | | | |
| | medical practition ection VII. Color | | | | | | of errors). Ad | ditional inf | ormation m | iust be _ |
| Color | Vision: No | ormal Color | Vision [|] Al | onom | nal Color Visio | n[| | | |
| | Nι | ımber of Er | rors | | | _ | | | | |
| | | | Ç | | | Hearing | | | | |
| | Normal | | | Abnorr | nal H | learing | | Hearing A | id Require | ed |
| If abnormal h | nearing or hearing | aid require | ed. perfoi | m audiog | ram c | or functional sp | eech discrim | ination test | <u></u> ' L | |
| If abnormal hearing or hearing aid required, perform audiogram or functional speech discrimination test. An applicant with normal hearing does not need to complete either the audiometer test or the functional speech discrimination test. The verifying medical practitioner, in consultation with any other healthcare provider he/she deems appropriate, determines whether the audiometer and/or functional speech discrimination tests are necessary. If hearing is abnormal or a hearing aid is required, refer to enclosure (5) of NVIC 4-08 for guidance. If audiometric testing is required, the audiometer test should include testing at the following thresholds, 500Hz, 1,000 Hz, 2,000 Hz and 3000 Hz. The frequency responses for each ear are averaged to determine the measure of an applicants hearing ability. The Applicant should demonstrate an unaided threshold of 30dB in each ear. | | | | | | | | | | |
| Additional in | formation must be | reported in | n Section | VII. | | | | | | |
| Audiomete | er Threshold Val | ue 50 | OHz | 1,000⊦ | łz | 2,000Hz | 3,000Hz | | · | |
| | Right Ear (Unaided) | | | | | | | | | |
| | Left Ear (Unaided) | | | | | | | | | |
| · San | Right Ear (Aided) | | | | | 42 | | | | |
| | Left Ear (Aided) | | | | | | | | | |
| | | | | | | | | | | |
| | tional Speech | | | Ri | ght E | ar (Unaided): | % | Right E | ar (Aided) | % |
| DISCLIMIN | ation Test @ 55d | IB . | | L | eft E | ar (Unaided): | <u></u> % | Left E | ar (Aided) | % |
| | | | | | | | | | | |

Previous Edition Obsolete

Date of Birth:

| Page 7 o | of 9 of CG-719 | K Rev. 01-09 | Section VII (a) - F | Dhyeio | al Inform | ation | |
|--|--|---------------------------------------|--|-------------------------|-------------|-----------------|-------------------------------|
| This s | ection to be | completed by | the verifying medical practiti | oner, or | other medic | | satisfaction of the verifying |
| | | | information must be reported Weight (lbs): | in Secti | | Index (BMI): | Gender: . |
| Height (inches only): | | y | vveight (IDS). | | Dody Wass | inuex (Divir). | Gender. |
| Pulse | Pulse Resting: | | Initial Blood Pressure: | Initial Blood Pressure: | | | Pressure (if needed): |
| | | · · · · · · · · · · · · · · · · · · · | | | | <u> </u> | |
| | Se | ction VII (b) |)– Physical Exam <i>(must</i> | be com | pleted by v | erifying medi | cal practitioner) |
| # | Normal | Abnormal | System/Organ | # | Normal | Abnormal | System/Organ |
| 1. | | | Head, Face, Neck, Scalp | 10. | | | Skin |
| 2. | | | Eyes / Pupils / EOM | 11. | | | Lymphatic |
| 3. | | | Mouth And Throat | 12. | | | Neurologic |
| 4. | | | Ears / Drums | 13. | - | | Vascular System |
| 5. | | | Lungs And Chest | 14. | | , | Genital-Urinary System |
| 6. | | | Heart | 15. | | | Hernia |
| 7. | , | | Abdomen | 16. | | | Missing extremities / Digits |
| 8. | | | Upper / Lower Extremities | 17. | | 1 | General / Systemic |
| 9. | | | Spine / Musculoskeletal | | | | |
| | | | | | | | |
| | | • | | | | | |
| Se | ction VIII | l - Demonst | ration of Physical Abil | ity (to t | e complete | ed by the veril | ying medical practitioner) |
| If the examining medical practitioner doubts the applicant's ability to meet the guidelines contained within this table, and for all applicants with a Body Mass Index (BMI) of 40.0 or higher, the practitioner shall require that the applicant demonstrate the ability to meet the guidelines. This does not mean, for example, that the applicant must actually don an exposure suit, pull an uncharged 1.5 inch diameter 50' fire hose with nozzle to full extension, or lift a charged 1.5 inch diameter fire hose to fire fighting position. Rather, the medical practitioner may utilize alternative measures to satisfy himself or herself that the applicant possesses the ability to meet the guidelines in the third column. A description of the methods utilized by the medical practitioner should be reported in Section IX. | | | | | | | |
| no | All practical demonstrations, if required, should be performed by the applicant without assistance. Any prosthesis normally worn by the applicant, and other aid devices, may be used by the applicant in all practical demonstrations except when the use of such items would prevent the proper wearing of mandated personal protection equipment (PPE). | | | | | | |
| | Applican | et Namo | | | | Date of Birth: | |

| Page | ۵ ۸ | f O a | fre: | 710K | Day | Ω1 | no |
|------|-----|-------|------|-------|------|----|-----|
| race | ĸп | 190 | てんぶっ | / 19K | Rev. | U1 | -U9 |

- If the verifying medical practitioner is unable to conduct the practical demonstration, the applicant should be referred to a competent evaluator of physical ability. The Coast Guard recognizes that all medical practitioners may not have the equipment necessary to test all of the tasks as listed. Equivalent alternate testing methodologies may be used. For further information, see enclosure (2) of NVIC 4-08.
- If the applicant is unable to perform any of the following functions, the examining practitioner should provide information on the degree or the severity of the applicant's inability to meet the standards. The results of any practical demonstration or attendant physical evaluation should be recorded in the Section IX.

| List of tasks considered necessary for performing ordinary and emergency response shipboard functions: | | | | | | |
|--|--|--|--|--|--|--|
| Shipboard Tasks, | | a chiergency response shipbodia functions. | | | | |
| function, event or condition: | Related Physical Ability: | The examiner should be satisfied that the applicant: | | | | |
| Routine Movement on slippery, uneven, and unstable surfaces. | Maintain Balance (equilibrium). | Has no disturbance in sense of balance. | | | | |
| Routine access between levels. | Climb up and down vertical ladders and stairways. | Is able, without assistance, to climb up and down vertical ladders and stairways. | | | | |
| Routine movement between spaces and compartments. | Step over high door sills and coamings, and move through restricted accesses. | Is able without assistance, to step over a door sill or coaming of 24 inches (61 centimeters) in height. Able to move through a restricted opening of 24 inches. | | | | |
| Open and close watertight doors, hand cranking systems, open/close valve. | Manipulate mechanical devices using manual and digital dexterity, and strength. | Is able, without assistance, to open and close watertight doors that may weigh up to 55 pounds (25 kilograms). Should be able to move hands/arms to open and close valve wheels in vertical and horizontal directions; rotate wrists to turn handles. Reach above shoulder height. | | | | |
| Handle ship's stores. | Lift, pull, push, and carry a load. | Is able, without assistance, to lift at least a 40 pound (18.1 kilogram) load off the ground, and to carry, push or pull the same load. | | | | |
| General vessel maintenance. | Crouch (lowering height by bending knees); kneel (placing knees on ground); and stoop (lowering height by bending at the waist). Use hand tools such as spanners, valve wrenches, hammers, screwdrivers, pliers. | Is able, without assistance, to grasp, lift and manipulate various common shipboard tools. | | | | |
| Emergency response procedures, including escape from smoke-filled spaces. | Crawl (the ability to move the body with hands and knees); feel (the ability to handle or touch to examine or determine differences in texture and temperature). | Is able, without assistance, to crouch, keel and crawl, and to distinguish differences in texture and temperature by feel. | | | | |
| Stand a routine watch. | Stand a routine watch. | Is able, without assistance, to intermittently stand on feet for up to four hours with minimal rest periods. | | | | |
| React to visual alarms and instructions, emergency response procedures. | Distinguish an object or shape at a certain distance. | Fulfills the eyesight standards for the merchant mariner credential(s) applied for. See footnote 1 of this table & enclosure (5) of NVIC 4-08. | | | | |
| React to audible alarms and instructions, emergency response procedures. | Hear a specified decibel (dB) sound at a specified frequency. | Fulfills the hearing capacity standards for the merchant manner credential(s) applied for. | | | | |
| Make verbal reports or call attention to suspicious or emergency conditions. | Describe immediate surroundings and activities, and pronounce words clearly. | Is capable of normal conversation. | | | | |
| Participate in firefighting activities. | Be able to carry and handle fire hoses and fire extinguishers. | Is able, without assistance, to pull an uncharged 1.5 inch diameter, 50' fire hose with nozzle to full extension, and to lift a charged 1.5 inch diameter fire hose to fire fighting position. | | | | |
| Abandon ship. | Use survival equipment. | Has the agility, strength and range of motion to put on a personal flotation device and exposure suit without assistance from another individual. | | | | |

| Applicant Name: | Date of Birth: |
|-----------------|----------------|
|-----------------|----------------|

| | | | | Recomme | | |
|--|----------------------|-----------------|------------------------------|---------|---|------------------------------|
| Recommended Competent | Not Re | commended Co | empetent (explain comment | | Needing For (explain | urther Reviev in comments |
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| omments on ecommendation: | | | | | | |
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| rifying Medical Practition | er: | | | | - 11-11 · 11 · 11 · 11 · 11 · 11 · 11 · | |
| ownigity of made of fallomed | any material infor | mation relevant | to this form. | | | |
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| | any matenal infor | | | | | |
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| Time (Printed): Dept. of Homeland Security, USC | CG, CG-719K, Rev. 0 | 1-09 | Signature: Date: | | | |

Date of Birth:_

Applicant Name:

U.S. DEPARTMENT OF HOMELAND SECURITY

Merchant Mariner Evaluation of Fitness for

OMB 1625-0040

| U.S. COAST GUARD CG-719K/E Rev. (01-09) Entry Level Rat | | | Ratings Expires 6/30/2012 | | | | | |
|--|-----------------------------------|--|--|----------------------------------|--|--|--|--|
| , | Section I – Applicant Information | | | | | | | |
| Name (Last, First, I | <i>Middle)</i> of A | | Social Security Number: | • | | | | |
| Age: | Date of Big (MM/DD/YY | | Gender: | | | | | |
| | | Section II Physical | Information | | | | | |
| Height: | | Eye Color: | Distinguishing Marks: | | | | | |
| Weight: | | Hair Color: | Body Mass Index: | | | | | |
| | | Section III – Physical Ab | ility Certification | | | | | |
| required to comp the following shi | plete a physipboard tas | evel Rating [ordinary seaman, wiposical examination, but they must holds and related physical abilities as | nave the agility, strength, and | flexibility to complete | | | | |
| Shipboard Tasks, fun or condition | n: · | Related Physical Ability: | The examiner should be set | isfied that the applicant: | | | | |
| Routine Movement on uneven and unstable s | | Maintain Balance (equilibrium). | Has no disturbance in sense of balan | ice. | | | | |
| Routine access between | en levels. | Climb up and down vertical ladders and stairways. | Is able, without assistance, to climb u stairways. | ip and down vertical ladders and | | | | |
| Routine movement bet spaces and compartine | | Step over high doorsills and coemings, and move through restricted accesses. | Is able without assistance, to step over a doorsill or coaming of 2 inches (61 centimeters) in height. Able to move through a restrict opening of 24 inches. | | | | | |
| Open and close waterti hand cranking systems open/close valve. | | Manipulate mechanical devices using manual and digital dextenty, and strength. | Is able, without assistance, to open and close watertight do may weigh up to 55 pounds (25 kilograms). Should be able hands/arms to open and close valve wheels in vertical and directions; rotate wrists to turn handles. Reach above should be able to the second s | | | | | |
| Handle ship's stores. | | Lift, pull, push and carry a load. | Is able, without assistance, to lift at least a 40-pound (18.1 kilogral load off the ground, and to carry, push or pull the same load. | | | | | |
| General vessel mainter | nanca. | Crouch (lowering height by bending knees); kneel (placing knees on ground); and stoop (lowering height by bending at the waist). Use hand tools such as spanners, valve wrenches, hemmers, screwdrivers, pliers. | Is able, without assistance, to grasp, common shipboard tools. | lift and manipulate various | | | | |
| Emergency response p including escape from spaces. | | Crawl (the ability to move the body with hands and knees); feel (the ability to handle or touch to examine or determine differences in texture and temperature). | Is able, without assistance, to crouch, keel and crawl, and to distinguish differences in texture and temperature by feel. | | | | | |
| Stand a routine watch. | | Stand a routine watch. | Is able, without assistance, to intermit four hours with minimal rest periods. | ttently stand on feet for up to | | | | |
| React to visual alarms instructions, emergency procedures. | | Distinguish an object or shape at a certain distance. | Fulfills the eyesight standards for the applied for. See footnote 1 of this tab 08. | | | | | |
| React to audible alarms instructions, emergency procedures. | | Hear a specified decibel (dB) sound at a specified frequency. | Fulfills the hearing capacity standards for the merchant mariner credential(s) applied for | | | | | |
| Make verbal reports or attention to suspicious emergency conditions. | | Describe immediate surroundings and activities, and pronounce words clearly. | Is cepable of normal conversation. | | | | | |
| Participate in firefighting | g activities. | Be able to cerry and handle fire hoses and fire extinguishers. | Is able, without assistance, to pull an 50' fire hose with nozzle to full extens inch diameter fire hose to fire fighting | sion, and to lift a charged 1.5 | | | | |
| Abandon ship. | | Use survival equipment. | Has the agility, strength and range of motion to put on a personal flotation device and exposure suit without assistance from another individual. | | | | | |

concerning the accuracy of this burden estimate or any suggestions for reducing the burden to the Commandant (CG-543) United States Coast Guard,

2100 2nd Street SW, Washington, DC 20593-0001.

DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG-719P (Rev 03/04)

DOT/USCG Periodic Drug Testing Form

Expires 06/31/2012 OMB 1625-0040

Page 1

INSTRUCTIONS: This form MAY be used to satisfy the requirements for "Periodic Drug Testing" in accordance with Title 46 CFR 16.220. If you participate in a USCG "random or pre-employment drug test program," this form may not be necessary. (See page 2 for details.)

NOTE: The cost of the drug test is the sole responsibility of the applicant, not the Coast Guard.

| NOTE: The cost of the drug test is the sole responsibility of the applicant, not the Coast Guard. | | | | | | |
|---|---------------------------------------|--|--|--|--|--|
| Section I - Applicant Consent | | | | | | |
| I certify that I am the described applicant and that I have provided the specimen(s) described below in accordance with Department of Transportation procedures given in 49 CFR 40. I also understand that making in any way, a false or fraudulent statement, entry, or evidence is a violation of the U.S. Criminal Code at Title 18 U.S. C. 1001 which subjects the violator to federal prosecution and possible incarceration, fine, or both. | | | | | | |
| Name: (Last, First, Middle) of Applicant (Print or Ty | pe) | Social Security Number | | | | |
| X Signature of Applicant | | Date | | | | |
| Section II - Name of SAMHSA Accr | edited I | Laboratory (Type or Print) | | | | |
| Name | Address | ¥ • ¥ • · · · · · · · · · · · · · · · · | | | | |
| | | | | | | |
| Section III - Medical Review Officer | • | | | | | |
| DATE SPECIMEN COLLECTED: | | e laboratory report has been reviewed in accordance with rocedures given in 49 CFR Part 40, Subpart G, and the | | | | |
| Specimen Analyzed For (DOT 5 Panel): | r | verified test results are: (CIRCLE ONE) | | | | |
| Marijuana metabolite | | NEGATIVE | | | | |
| Cocaine metaholites Ociotes metaholites | POSITIVE/SUBSTITUTED/ADULTERATED or | | | | | |
| Opiates metabolites Phencyclidine | INVALID TEST (Test Cancelled) | | | | | |
| • Amphetamines | · · · · · · · · · · · · · · · · · · · | | | | | |
| | (P) | (Please complete the next block for all non-negative results) | | | | |
| | LED DRI | UG TESTS ONLY: (To be reported to the nearest USCG | | | | |
| Marine Safety Office). This specimen is verified POSITIVE for | | | | | | |
| This specimen was identified as being SUBST | TTUTED (| or containing the ADULTERANT: | | | | |
| | | • | | | | |
| The test was CANCELLED because (insert re | eason): | | | | | |
| | | cer as outlined in Title 49 CFR 40.121. I have reviewed the t is in accordance with Title 49 CFR 40 Subpart G. | | | | |
| MEDICAL REVIEW OFFICER CONTACT INFORMA | ATION: | MEDICAL REVIEW OFFICER AUTHORITY: | | | | |
| Name: | | Name: (Printed) | | | | |
| Address: | | Signature: | | | | |
| | | | | | | |
| | | Name of MRO Qualifying Organization: | | | | |
| Phone: Registration Number Issed by Qualifying Organization: | | | | | | |

[&]quot;An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number." "The Coast Guard estimates that the average burden for this report is 5 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commanding Officer, U. S. Coast Guard National Maritime Center, 100 Forbes Drive, Martinsburg, WV 25404 or Office of Management and Budget, Paperwork Reduction Project (1625-0040), Washington, DC 20503."

DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG-719P (Rev 03/04)

DOT/USCG Periodic Drug Testing Form

Expires 06/31/2012 OMB 1625-0040 Page 2

| REQUIREMENTS | A drug test is required for all transactions EXCEPT endorsements, duplicates, and STCW certificates. Only a DOT 5 Panel (SAMHSA 5 Panel, formerly NIDA 5), testing for Marijuana, Cocaine, Opiates, Phenycyclidine, and Amphetamines will be accepted. |
|-----------------------------------|--|
| OPTION I PERIODIC TESTING PROGRAM | A USCG drug test conducted within the past 185 days by a laboratory accredited by Substance Abuse and Mental Health Services Administration (SAMHSA), Department of Health and Human Services. COLLECTION of a urine sample may be conducted by an independent medical facility, private physician or at an employer-designated site as long as the collection agent meets the qualification requirements to be a collection agent given in Title 49 CFR Part 40.30. It is CRITICAL that the sample is sent to an accredited SAMHSA laboratory for ANALYSIS or the drug test is invalid. A list of service agents that can assist in meeting these requirements is included or a list of service agents can be obtained at www.uscg.mil/hq/g-m/moa/dapip.htm. The ORIGINAL results are required. A FACSIMILE is acceptable, if it is originated from the Medical Review Officer (MRO) or the Service Agent assisting the mariner, and sent directly from the office. The drug test result must be signed and dated by the MRO or by a representative of the service agent who assisted you in meeting this requirement. |
| OPTION II RANDOM TESTING | An ORIGINAL DATED letter on marine employer stationary or, for ACTIVE DUTY MILITARY MEMBERS, an ORIGINAL DATED letter from your command on command letterhead attesting to participation in random drug testing programs. EXAMPLE (From Mariner Employers): APPLICANT'S NAME / SSN has been subject to a random testing program meeting the criteria of Title 46 CFR 16.230 for at least 60 days during the previous 185 days and has not failed nor refused to participate in a chemical test for dangerous drugs. EXAMPLE (Active Duty Military/Military Sealift Command/N.O.A.A/Army Corps of Engineers): APPLICANT'S NAME / SSN has been subject to a random testing program with no subsequent positive drug test results during the remainder of the six month period. |
| OPTION III PRE-EMPLOYMENT TESTING | A drug test is required for all transactions EXCEPT endorsements, duplicates, and STCW certificates. Only a DOT 5 Panel (SAMHSA 5 Panel, formerly NIDA 5), testing for Marijuana, Cocaine, Opiates, Phenycyclidine, and Amphetamines will be accepted. |

PRIVACY ACT STATEMENT IN ACCORDANCE WITH 5 U. S. C. 552a(e)(3), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD . 1. AUTHORITY WHICH AUTHORIZED THE SOLICITATION OF INFORMATION 46 U. S. C. 7302, 7305, 7314, 7316, 7319, AND 7502 (SEE 46 CFR PARTS 10, 12, 13, AND 16). 2. PRINCIPLE PURPOSES FOR WHICH INFORMATION IS INTENDED TO BE USED: A. TO ESTABLISH ELIGIBILITY FOR A MERCHANT MARINER'S LICENSE AND DOCUMENT ISSUED BY THE COAST GUARD. B. TO ESTABLISH AND MAINTAIN A CONTINUOUS RECORD OF THE PERSON'S DOCUMENTATION TRANSACTIONS. C. PART OF THE INFORMAITON IS TRANSFERRED TO A FILE MANAGEMENT COMPUTER SYSTEM FOR A PERMANENT RECORD 3. THE ROUTINE USESWHICH MAY BE MADE OF THE INFORMATION: A. TO MAINTAIN RECORDS REQUIRED BY 42 U. S. C. 7319 AND 7502. B. TO ENABLE ELIGIBLE PARTIES (i.e. the mariner's heirs or properly designated representative) TO OBTAIN INFORMATION. C. TO PROVIDE INFORMATION TO THE U.S. MARITIME ADMINISTRATION FOR USE IN DEVELOPING MANPOWER STUDIES AND TRAINING BUDGET NEEDS. D. TO DEVELOP INFORMATION AT THE REQUEST OF COMMITTEES OF CONGRESS. E. TO PROJECT BILLET ASSIGNMENTS AT COAST GUARD MARINE INSPECTION/SAFETY OFFICES. F. TO PROVIDE INFORMATION TO LAW ENFORCEMENT AGENCIES FOR CRIMINAL OR CIVIL LAW ENFORCEMENT PURPOSES. G, TO ASSIST U.S. COAST GUARD INVESTIGATING OFFICERS AND ADMINISTRATIVE LAW JUDGES IN DETERMINING MISCONDUCT, CAUSES OF CASUALTIES, AND APPROPRIATE SUSPENSION AND REVOCATION ACTIONS. 4. WHETHER OR NOT DISCLOSURE OF SUCH INFORMATION IS MANDATORY OR VOLUNTARY (Required by law or optional) AND THE EFFECTS ON THE INDIVIDUAL, IF ANY, OF NOT PROVIDING ALL OR PART OF THE REQUESTED INFORMATION IS VOLUNTARY, DISCLOSURE OF THIS INFORMATION IS VOLUNTARY, BUT FAILURE TO PROVIDE MAY RESULT IN NON-ISSUANCE OF THE REOUESTED DOCUMENT(S).

DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG - 719S (REV 03/04)

Small Vessel Sea Service Form

OMB 1625-0040 Expires 06/30/2012

PAGE 1

| Section I – Applicant Information (Note: Complete One Form per Vessel) | | | | | | | |
|--|-----------------------------|---|---|---------------------------------|---------------------------|--|--|
| Name (Last, First, Midd | le) | | Social Security Number | | | | |
| Vessel Name | | | Official Number or State Registration Number | | | | |
| Vessel Gross Tons Length | | | Width (if known) Depth (if known) | | | | |
| Propulsion (Motor/Steal | m/Gas Turbine/Sail/Aux | Sail) | Served As: (Master/Mate/C | perator/Deckhand/etc.) | | | |
| Name of body or bodies | s of water upon which ve | ssel was underway (Geog | raphic Locations) | | | | |
| | | | ar an | | | | |
| Section II – R | lecord of Unde | rway Service | | | | | |
| | | <u> </u> | u served for that year (you c | an show more than one y | ear) | | |
| January (year / days) | February (year / days) | March (year / days) | April (year / days) | May (year / days) | June (year / days) | | |
| | | | (ydai / days) | | (year days) | | |
| | | | | | | | |
| | | | | | | | |
| lule | August | Cantrial | October | Name | D | | |
| July (year / days) | (year / days) | September (year / days) | October (year / days) | November (year / days) | December (year / days) | | |
| | | | | | | | |
| | | ——/ _/ —— | | | ——/ — — | | |
| | | | | | | | |
| Total number of days s | anned on this uppeals | | ımber of days served on Gr | eat Lakes: | | | |
| Average hours underw | 1 | | Number of days served on waters shoreward of the coundary line as defined in 46 CFR Part 7: | | | | |
| Average distance offsh | ore: | No | Number of days served on waters seaward of the boundary line as defined in 46 CFR Part 7: | | | | |
| Section III - 9 | l Signature and | | plicant Read Before Signin | | | | |
| | | | tement in order that I, the app | | /document to operate a | | |
| vessel under the provisio | ons of Title 46 CFR, as app | dicable. I understand that if ears or both (18 U. S. C. 10 | I make any false or fraudulent | statement in this certification | n of servica, I may be | | |
| X Signature of App | plicant | | Date | | | | |
| NOTE. • If you wer | e not the owner, the Ow | ner, Operator, or Master moof of ownership must be | nust complete the remainder | of this form. | | | |
| | | • | ndividual has served on the at | oove vessel as stated. I am | making this statement in | | |
| order that the applicant m | ey obtain a license to ope | rate a vessel under the prov | risions of Title 46 CFR, as app imprisonment of up to five (5) | licable. I understand that if i | make eny false or | | |
| X Signature and title | of person attesting to | experience | | Date | · | | |
| | Master's Name (Last, First | | Owner's, Operator's, or M | aster's address and phone | number: | | |
| | | | | | | | |

DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG - 719S (REV 03/04)

В.

Small Vessel Sea Service Form

OMB 1625-0040 Expires 06/31/2012

PAGE 2

PRIVACY ACT STATEMENT

In accordance with 5 U. S. C. 552a(e)(3), THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

- AUTHORITY WHICH AUTHORIZED THE SOLICITATION OF INFORMATION:
 - 46 U. S. C. 7302, 7305, 7314, 7316, 7319, AND 7502.
 - B. SEE 46 CFR PARTS 10 AND 12.
- 2. PRINCIPLE PURPOSES FOR WHICH INFORMATION IS INTENDED TO BE USED:
 - TO ESTABLISH ELIGIBILITY FOR A MERCHANT MARINER'S LICENSE OR DOCUMENT ISSUED BY THE COAST GUARD.
 - TO ESTABLISH AND MAINTAIN A CONTINUOUS RECORD OF THE PERSON'S DOCUMENTATION TRANSACTIONS.
 - PART OF THE INFORMATION IS TRANSFERRED TO A FILE MANAGEMENT COMPUTER SYSTEM FOR A PERMANENT RECORD.
- 3. THE ROUTINE USES WHICH MAY BE MADE OF THE INFORMATION:
 - TO MAINTAIN RECORDS REQUIRED BY 46 U. S. C. 7319 AND 7502.
 - TO ENABLE ELIGIBLE PARTIES (i.e. the mariner's heirs or properly designated representative) TO OBTAIN INFORMATION. TO PROVIDE INFORMATION TO THE U.S. MARITIME ADMINISTRATION FOR USE IN DEVELOPING MANPOWER STUDIES AND TRAINING BUDGET NEEDS.
 - TO DEVELOP INFORMATION AT THE REQUEST OF COMMITTEES OF CONGRESS. D.
 - TO PROJECT BILLET ASSIGNMENTS AT COAST GUARD MARINE INSPECTION/SAFETY OFFICES. Ε.
 - TO PROVIDE INFORMATION TO LAW ENFORCEMENT AGENCIES FOR CRIMINAL OR CIVIL LAW ENFORCEMENT PURPOSES.
 - TO ASSIST U.S. COAST GUARD INVESTIGATING OFFICERS AND ADMINISTRATIVE LAW JUDGES IN DETERMINING MISCONDUCT, CAUSES OF CASUALTIES, AND APPROPRIATE SUSPENSION AND REVOCATION ACTIONS.
- WHETHER OR NOT DISCLOSURE OF SUCH INFORMATION IS MANDATORY OR VOLUNTARY (Required by law or optional) AND THE 4. EFFECTS ON THE INDIVIDUAL, IF ANY, OF NOT PROVIDING ALL OR PART OF THE REQUESTED INFORMATION IS VOLUNTARY. DISCLOSURE OF THIS INFORMATION IS VOLUNTARY, BUT FAILURE TO PROVIDE MAY RESULT IN NON-ISSUANCE OF THE REQUESTED DOCUMENTS.

"An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number." The Coast Guard estimates that the average burden for this report is 15 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commanding Officer, U. S. Coast Guard National Maritime Center, 100 Forbes Drive, Martinsburg, WV 25404 or Office of Management and Budget, Paperwork Reduction Project (1625-0040), Washington, DC 20503.

INSTRUCTION AND INFORMATION SHEET FOR SF 180, REQUEST PERTAINING TO MILITARY RECORDS

1. General Information. The Standard Form 180, Request Pertaining to Military Records (SF180) is used to request information from military records. Certain identifying information is necessary to determine the location of an individual's record of military service. Please try to answer each item on the SF 180. If you do not have and cannot obtain the information for an item, show "NA," meaning the information is "not available." Include as much of the requested information as you can. To determine where to mail this request see Page 2 of the SF180 for record locations and facility addresses.

Online requests may be submitted to the National Personnel Records Center (NPRC) by a veteran or deceased veteran's next of kin using eVetRecs at http://www.archives.gov/veterans/evetrecs/.

- 2. Personnel records and Service Treatment Records (STR). Personnel records of military members who were discharged, retired, or died in service less than 62 years ago and STR's are in the legal custody of the military service department and are administered in accordance with rules issued by the Department of Defense and the Department of Homeland Security (DHS, Coast Guard). STR's of persons on active duty are generally kept at the local servicing clinic, and usually are available from the Department of Veterans Affairs approximately 40 days after the last day of active duty. (See item 3, Archival Records, if the military member was discharged, retired or died in service over 62 years ago.)
 - a. Release of information: Release of information is subject to restrictions imposed by the military services consistent with Department of Defense regulations and the provisions of the Freedom of Information Act (FOIA) and the Privacy Act of 1974. The service member (either past or present) or the member's legal guardian has access to almost any information contained in that member's own record. An authorization signature, of the service member or the member's legal guardian, is needed in Section III of the SF180. Others requesting information from military personnel records and/or STR's must have the release authorization in Section III of the SF 180 signed by the member or legal guardian. If the appropriate signature cannot be obtained, only limited types of information can be provided. If the former member is deceased, surviving next of kin may, under certain circumstances, be entitled to greater access to a deceased veteran's records than a member of the general public. The next of kin may be any of the following: unremarried surviving spouse, father, mother, son, daughter, sister, or brother. Requesters **must provide proof of death**, such as a copy of a death certificate, letter from funeral home or obituary.
 - b. <u>Fees for records:</u> There is no charge for most services provided to service members or next of kin of deceased veterans. A nominal fee is charged for certain types of service. In most instances service fees cannot be determined in advance. If your request involves a service fee, you will be notified as soon as that determination is made.
- **3.** Archival Records. Personnel records of military members who were discharged, retired, or died in service **62 or more years** ago have been transferred to the legal custody of NARA and are referred to as "archival" records.
 - a. <u>Release of Information</u>: Archival records are open to the public. The Privacy Act of 1974 does not apply to archival records, therefore, written authorization from the veteran or next of kin is not required. However, in order to protect the privacy of the veteran, his/her family, and third parties named in the records, the personal privacy exemption of the Freedom of Information Act (5 U.S.C. 552 (b) (6)) may still apply and preclude the release of some information.
 - b. <u>Fees for Archival Records:</u> Access to archival records is granted by offering copies of the records for a fee (44 U.S.C. 2116 (c)). You will be notified if there is a charge for photocopies of documents contained in the record you are requesting.
- **4.** Where reply may be sent. The reply may be sent to the service member or any other address designated by the service member or other authorized requester.
- **5. Definitions and abbreviations.** DISCHARGED -- the individual has no current military status; SERVICE TREATMENT RECORD (STR) -- The chronology of medical, mental health and dental care received by service members during the course of their military career (does not include records of treatment while hospitalized); TDRL Temporary Disability Retired List.
- **6. Service completed before World War I.** National Archives Trust Fund (NATF) forms must be used to request these records. Obtain the forms by e-mail from *inquire@nara.gov* or write to the Code 6 address on page 2 of the SF 180.

PRIVACY ACT OF 1974 COMPLIANCE INFORMATION

The following information is provided in accordance with 5 U.S.C. 552a(e)(3) and applies to this form. Authority for collection of the information is 44 U.S.C. 2907, 3101, and 3103, and Public Law 104-134 (April 26, 1996), as amended in title 31, section 7701. Disclosure of the information is voluntary. If the requested information is not provided, it may delay servicing your inquiry because the facility servicing the service member's record may not have all of the information needed to locate it. The purpose of the information on this form is to assist the facility servicing the records (see the address list) in locating the correct military service record(s) or information to answer your inquiry. This form is then retained as a record of disclosure. The form may also be disclosed to Department of Defense components, the Department of Veterans Affairs, the Department of Homeland Security (DHS, U.S. Coast Guard), or the National Archives and Records Administration when the original custodian of the military health and personnel records transfers all or part of those records to that agency. If the service member was a member of the National Guard, the form may also be disclosed to the Adjutant General of the appropriate state, District of Columbia, or Puerto Rico, where he or she served.

PAPERWORK REDUCTION ACT PUBLIC BURDEN STATEMENT

Public burden reporting for this collection of information is estimated to be five minutes per request, including time for reviewing instructions and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of the collection of information, including suggestions for reducing this burden, to National Archives and Records Administration (NHP), 8601 Adelphi Road, College Park, MD 20740-6001. DO NOT SEND COMPLETED FORMS TO THIS ADDRESS. SEND COMPLETED FORMS AS INDICATED IN THE ADDRESS LIST ON PAGE 2 OF THE SF 180.

REQUEST PERTAINING TO MILITARY RECORDS

* Requests from veterans or deceased veteran's next-of-kin may be submitted online by using eVetRecs at http://www.archives.gov/veterans/evetrecs/ (To ensure the best possible service, please thoroughly review the accompanying instructions before filling out this form. Please print clearly or type.) SECTION I - INFORMATION NEEDED TO LOCATE RECORDS (Furnish as much as possible.) 1. NAME USED DURING SERVICE (last, first, and middle) 2. SOCIAL SECURITY NO. 3. DATE OF BIRTH 4. PLACE OF BIRTH 5. SERVICE, PAST AND PRESENT (For an effective records search, it is important that all service be shown below.) SERVICE NUMBER DATE ENTERED DATE RELEASED **OFFICER** BRANCH OF SERVICE **ENLISTED** (If unknown, write "unknown") a. ACTIVE COMPONENT b. RESERVE COMPONENT c. NATIONAL **GUARD** 6. IS THIS PERSON DECEASED? If "YES" enter the date of death. 7. IS (WAS) THIS PERSON RETIRED FROM MILITARY SERVICE? YES YES SECTION II - INFORMATION AND/OR DOCUMENTS REQUESTED 1. CHECK THE ITEM(S) YOU WOULD LIKE TO REQUEST A COPY OF: **DD Form 214 or equivalent.** This form contains information normally needed to verify military service. A copy may be sent to the veteran, the deceased veteran's next of kin, or other persons or organizations if authorized in Section III, below. NOTE: If more than one period of service was performed, even in the same branch, there may be more than one DD214. Check the appropriate box below to specify a deleted or **undeleted copy**. When was the DD Form(s) 214 issued? YEAR(S): **UNDELETED:** Ordinarily required to determine eligibility for benefits. Sensitive items, such as, the character of separation, authority for separation, reason for separation, reenlistment eligibility code, separation (SPD/SPN) code, and dates of time lost are usually shown. **DELETED:** The following items are deleted: authority for separation, reason for separation, reenlistment eligibility code, separation (SPD/SPN) code, and for separations after June 30, 1979, character of separation and dates of time lost. All Documents in Official Military Personnel File (OMPF) Medical Records (Includes Service Treatment Records (outpatient), inpatient and dental records.) If hospitalized, the facility name and date for each admission must be provided: Other (Specify): 2. PURPOSE: (An explanation of the purpose of the request is strictly voluntary; however, such information may help to provide the best possible response and may result in a faster reply. Information provided will in no way be used to make a decision to deny the request.) Check appropriate box: Benefits ☐ Employment ☐ VA Loan Programs ☐ Medical ☐ Medals/Awards ☐ Genealogy ☐ Correction ☐ Personal Other, explain: SECTION III - RETURN ADDRESS AND SIGNATURE 1. REQUESTER IS: (Signature Required in # 3 below of veteran, next of kin, legal guardian, authorized government agent or "other" authorized representative. If "other" authorized representative, provide copy of authorization letter.) Military service member or veteran identified in Section I, above Legal guardian (Must submit copy of court appointment.) Other (specify) Next of kin of deceased veteran (Must provide proof of death). Show relationship: 3. AUTHORIZATION SIGNATURE REQUIRED (See items 2a or 3a on (See item 2a on accompanying instructions.) accompanying instructions.) I declare (or certify, verify, or state) under 2. SEND INFORMATION/DOCUMENTS TO: penalty of perjury under the laws of the United States of America that the (Please print or type. See item 4 on accompanying instructions.) information in this Section III is true and correct. Name Signature Required - Do not print Street Date of this request Apt. Daytime phone City State Zip Code Email address

^{*}This form is available at http://www.archives.gov/research/order/standard-form-180.pdf on the National Archives and Records Administration (NARA) web site.*

LOCATION OF MILITARY RECORDS

The various categories of military service records are described in the chart below. For each category there is a code number which indicates the address at the bottom of the page to which this request should be sent. Please refer to the Instruction and Information Sheet accompanying this form as needed.

| | | ADDRE | SS CODE |
|--------|--|---------------------|--------------------------------|
| BRANCH | CURRENT STATUS OF SERVICE MEMBER | Personnel Record | Service Treatment Record |
| | Discharged, deceased, or retired before 5/1/1994 | 14 | 14 |
| | Discharged, deceased, or retired 5/1/1994 – 9/30/2004 | 14 | 11 |
| AIR | Discharged, deceased, or retired on or after 10/1/2004 | 1 | 11 |
| FORCE | Active (including National Guard on active duty in the Air Force), TDRL, or general officers retired with pay | 1 | |
| | Reserve, retired reserve in nonpay status, current National Guard officers not on active duty in the Air Force, or National Guard released from active duty in the Air Force | 2 | |
| | Current National Guard enlisted not on active duty in the Air Force | 13 | |
| | Discharge, deceased, or retired before 1/1/1898 | 6 | |
| COAST | Discharged, deceased, or retired 1/1/1898 – 3/31/1998 | 14 | 14 |
| GUARD | Discharged, deceased, or retired on or after 4/1/1998 | 14 | 11 |
| | Active, reserve, or TDRL | 3 | |
| | Discharged, deceased, or retired before 1/1/1905 | 6 | |
| | Discharged, deceased, or retired 1/1/1905 – 4/30/1994 | 14 | 14 |
| MARINE | Discharged, deceased, or retired 5/1/1994 – 12/31/1998 | 14 | 11 |
| CORPS | Discharged, deceased, or retired on or after 1/1/1999 | 4 | 11 |
| | Individual Ready Reserve | 5 | |
| | Active, Selected Marine Corps Reserve, TDRL | 4 | |
| | Discharged, deceased, or retired before 11/1/1912 (enlisted) or before 7/1/1917 (officer) | 6 | |
| | Discharged, deceased, or retired 11/1/1912 – 10/15/1992 (enlisted) or 7/1/1917 – 10/15/1992 (officer) | 14 | 14 |
| ARMY | Discharged, deceased, or retired after 10/16/1992 | 14 | 11 |
| | Active enlisted, officers (including National Guard and Army Reserve on active duty in the U.S. Army) | 7 | |
| | National Guard enlisted and officers not on active duty in Army | 13 | |
| | Discharged, deceased, or retired before 1/1/1886 (enlisted) or before 1/1/1903 (officer) | 6 | |
| | Discharged, deceased, or retired 1/1/1886 – 1/30/1994 (enlisted) or 1/1/1903 – 1/30/1994 (officer) | 14 | 14 |
| NAVY | Discharged, deceased, or retired 1/31/1994 – 12/31/1994 | 14 | 11 |
| | Discharged, deceased, or retired on or after 1/1/1995 | 10 | 11 |
| | Active, reserve, or TDRL | 10 | |
| PHS | Public Health Service - Commissioned Corps officers only | 12 | |

ADDRESS LIST OF CUSTODIANS (BY CODE NUMBERS SHOWN ABOVE) - Where to write/send this form

| 1 | Air Force Personnel Center HQ AFPC/DPSSRP 550 C Street West, Suite 19 Randolph AFB, TX 78150-4721 | 6 | National Archives & Records Administration Old Military and Civil Records (NWCTB-Military) Textual Services Division 700 Pennsylvania Ave., N.W. Washington, DC 20408-0001 | 11 | Department of Veterans Affairs Records Management Center P.O. Box 5020 St. Louis, MO 63115-5020 |
|---|---|----|--|----|---|
| 2 | Air Reserve Personnel Center /DSMR HQ ARPC/DPSSA/B 6760 E. Irvington Place, Suite 4600 Denver, CO 80280-4600 | 7 | U.S. Army Human Resources Command www.hrc.army.mil | 12 | Division of Commissioned Corps Officer Support ATTN: Records Officer 1101 Wooton Parkway, Plaza Level, Suite 100 Rockville, MD 20852 |
| 3 | Commander, CGPC-adm-3 USCG Personnel Command 4200 Wilson Blvd., Suite 1100 Arlington, VA 22203-1804 | 8 | Reserved. | 13 | The Adjutant General (of the appropriate state, DC, or Puerto Rico) |
| 4 | Headquarters U.S. Marine Corps Personnel Management Support Branch (MMSB-10) 2008 Elliot Road Quantico, VA 22134-5030 | 9 | Reserved. | 14 | National Personnel Records Center (Military Personnel Records) 9700 Page Ave. St. Louis, MO 63132-5100 |
| 5 | Marine Forces Reserve 4400 Dauphine St. New Orleans, LA 70146-5400 | 10 | Navy Personnel Command (PERS-312E) 5720 Integrity Drive Millington, TN 38055-3120 | | eVetRecs! www.archives.gov/veterans/evetrecs/ |

Merchant Mariner Oath 46 USC

I do solemnly swear or affirm that I will faithfully and honestly, according to my best skill and judgment, and without concealment and reservation, perform all the duties required of me by the laws of the United States. I will faithfully and honestly carry out the lawful orders of my superior officers aboard a vessel.

| Name (Printed) | Signature | Date |
|---|---------------------------------|------------------------------|
| Note: Do not sign until in the presence oath. | of a Notary or other official d | uly authorized to witness an |
| Subscribed and affirmed before m | ne in the county of | , |
| State of | , this day of | ,· |
| Notary | (Notary's official signatu | ire) |
| Seal | (Commission expiration | date) |

MERCHANT MARINER CREDENTIAL APPLICATION THIRD PARTY AUTHORIZATION

| I | , authorize the USCG Nationa | al Maritime Center | | | |
|---|---|----------------------|--|--|--|
| (full name) to release/discuss any information regard | | to/with the Third | | | |
| Party listed below, including (those check | led below): | | | | |
| Official correspondence and/or previous Merchant Mariner Credentials. | | | | | |
| ☐ Professional qualifications, certification | fessional qualifications, certification records, or Sea Service time. | | | | |
| ☐ Any information other than medical, Merchant Mariner Credential. | related to the processing of my curre | nt application for a | | | |
| ☐ Any medical information related to the Mariner Credential. | ne processing of my current application | n for a Merchant | | | |
| ☐ Act on my behalf in all matters pertain application. | ning to the processing of my current l | JSCG credential | | | |
| ☐ Mail my credential to the third party li | sted below. | | | | |
| Third Party Information: | | | | | |
| | | | | | |
| (Authorized Persons Name: Last, First, MI.) | | | | | |
| (Organization if Applicable) | | | | | |
| (Address) | | | | | |
| (Phone Number and Email Address if Available) | | | | | |
| This authorization expires on | (date) | | | | |
| | (date) | | | | |
| (Mariner's Signature) | (Reference Number/Last | (Date) | | | |

You may send the release to the NMC by the four methods listed below:

- Include it with your credential application packet
- Scan the signed release and email it to IASKNMC@uscg.mil.
 Fax the signed release to 304-433-3416
- Mail the signed release to the NMC at 100 Forbes Drive, Martinsburg, WV 25404.

Mariner Identification System (MID) Scanner Image Capture Form

(For Official Use Only)

| riner's Picture: | |
|----------------------|--|
| | HELPFUL HINTS |
| | When scanning a photo, use the CARD DATA settings in MID. |
| | When scanning and /or signatures, use the LIGHT ORIGINALS settings in MID. |
| | |
| | 一八里 1 1111 / |
| | |
| | |
| Pri | inted: Mariner Last Name, First Name, Middle Initial |
| | |
| | |
| _ | Social Security Number or Mariner Number |
| | Social Security Number or Mariner Number |
| | Social Security Number or Mariner Number |
| | Social Security Number or Mariner Number |
| | Social Security Number or Mariner Number |
| ner's Signature Here | |
| ner's Signature Here | Social Security Number or Mariner Number (please sign completely within the box): |
| ner's Signature Here | |
| ner's Signature Here | (please sign completely within the box): |

| DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD | AUTHORIZATION F | OR PAYMENT OF FEES THROUPAY.GOV | JGH OMB APPROVAL PENDING |
|--|-----------------------------------|---|-------------------------------|
| DATE: | | | |
| | | | |
| MARINER'S NAME: (I | _AST NAME, FIRST NAME | , MIDDLE NAME) | |
| SOCIAL SECURITY N | UMBER: (REQUIRED FOR | LINKING TO COAST GUARD RECOR | (D) |
| | | | |
| ACCOUNT HOLDER N | IAME: (IF DIFFERENT FR | OM THE ONE LISTED ABOVE) | |
| | | | |
| ACCOUNT HOLDER E | BILLING ADDRESS: (STRE | EET, CITY, STATE, ZIP CODE) | |
| | | | |
| AUTHORIZED PAYME | NT AMOUNT | | |
| AOTHORIZEDTATIVE | | | |
| | .00 | | |
| | | | |
| ACH TRANSFER FRO | M ACCOUNT TYPE: (CHE | CK ONLY ONE) | |
| 1 | | 250000000000000000000000000000000000000 | i |
| PERSON | PERSONAL SAVINGS BUSINESS SAVINGS | | |
| □ PERSONA | AL CHECKING | ☐ BUSINESS CHECKING | |
| ROUTING NUMBER: | il on zonino | | |
| ROUTING NUMBER. | | | |
| | | | |
| ACCOUNT NUMBER: | | | |
| | | | |
| CHECK NUMBER: (IF | APPLICABLE) | | |
| | Routing | Number Account Number Check Number | |
| | P 25 O': | 467830 9243767390 12234 | |
| | 07.04.0000- | 4. | |
| CREDIT CARD AUTHO | ORIZATION | | |
| CARD TYPE: VIS | | ☐ AMEX ☐ DISCOVER | |
| | | | |
| CREDIT CARD NUMBI | ER: | | EXP. DATE: MM-YYYY |
| | | | |
| SECURITY CODE: The | 3-digit code is located on t | he back of your card, inside the signatur | e area. The last three digits |
| make up the code. For | mose using American Expr | ess (AMEX) it is a 4-digit code. | |
| | | | |
| | PRINT NAME: | | |
| | | ERSON COMPLETING FORM IF OTHER TH | HAN MARINER LISTED ABOVE |
| I AUTHORIZE A TRAN | SFER FROM OR CHARGE | TO THE ABOVE ACCOUNT IN ACCO | RDANCE WITH MY BANK |
| OR CARD ISSUER AG | REEMENT: | | |

(Authorizing Signature)

MLD-FM-REC-170 (03)